

Disadvantaged Business Enterprise Program
49 C.F.R. Part 26
Uniform Certification Application

Roadmap for Applicants

- **Should I apply?**

- Is your firm at least 51%-owned by a socially and economically disadvantaged individual(s) who also controls the firm?
- Is the disadvantaged owner a U.S. citizen or lawfully admitted permanent resident of the U.S.?
- Is your firm a small business that meets the Small Business Administration's (SBA's) size standard and does not exceed \$17.42 million in gross annual receipts?
- Is your firm organized as a for-profit business?

**If you answered “Yes” to all of the questions above,
you may be eligible to participate in the USDOT DBE Program.**

- **Is there an easier way to apply?**

If you are currently certified by the SBA as an 8(a) and/or SDB firm, you may be eligible for a streamlined certification application process. Under this process, the certifying agency to which you are applying will accept your current SBA application package in lieu of requiring you to fill out and submit this form. **NOTE:** You must still meet the requirements for the DBE Program, including undergoing an on-site review.

Be sure to attach all of the required documents listed in the Documents Check List at the end of this form with your completed application.

- **Where can I find more information?**

- **U.S. DOT** – <http://osdbuweb.dot.gov/business/dbe/index.html> (this site provides useful links to the rules and regulations governing the DBE Program, questions and answers, and other pertinent information)
- **SBA** – <http://www.ntis.gov/naics> (provides a listing of NAICS codes) and <http://www.sba.gov/size/indexableofsize.html> (provides a listing of SIC codes)
- **49 CFR Part 26** (the rules and regulations governing the DBE Program)

Under Sec. 26.107 of 49 CFR Part 26, dated February 2, 1999, if at any time, the Department or a recipient has reason to believe that any person or firm has willfully and knowingly provided incorrect information or made false statements, the Department may initiate suspension or debarment proceedings against the person or firm under 49 CFR Part 29, take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, and/or refer the matter to the Department of Justice for criminal prosecution under 18 U.S.C. 1001, which prohibits false statements in Federal programs.

INSTRUCTIONS FOR COMPLETING THE DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM UNIFORM CERTIFICATION APPLICATION

NOTE: If you require additional space for any question in this application, please attach additional sheets or copies as needed, taking care to indicate on each attached sheet/copy the section and number of this application to which it refers.

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Check the appropriate box indicating for which program your firm is currently certified. If you are already certified as a DBE, indicate in the appropriate box the name of the certifying agency that has previously certified your firm, and also indicate whether your firm has undergone an onsite visit. If your firm has already undergone an onsite visit/review, indicate the most recent date of that review and the state UCP that conducted the review.

NOTE: If your firm is currently certified under the SBA's 8(a) and/or SDB programs, you may not have to complete this application. You should contact your state UCP to find out about a streamlined application process for firms that are already certified under the 8(a) and SDB programs.

B. Prior/Other Applications and Privileges

Indicate whether your firm or any of the persons listed has ever withdrawn an application for a DBE Program or an SBA 8(a) or SDB program, or whether any have ever been denied certification, decertified, debarred, suspended, or had bidding privileges denied or restricted by any state or local agency or Federal entity. If your answer is yes, indicate the date of such action, identify the name of the agency, and explain fully the nature of the action in the space provided.

Section 2: GENERAL INFORMATION

A. Contact Information

- (1) State the name and title of the person who will serve as your firm's primary contact under this application.
- (2) State the legal name of your firm, as indicated in your firm's Articles of Incorporation or charter.
- (3) State the primary phone number of your firm.
- (4) State a secondary phone number, if any.
- (5) State your firm's fax number, if any.
- (6) State your firm's or your contact person's email address.
- (7) State your firm's website address, if any.
- (8) State the street address of your firm (i.e. the physical location of its offices -- not a post office box address).
- (9) State the mailing address of your firm, if it is different from your firm's street address.

B. Business Profile

- (1) In the box provided, briefly describe the primary business and professional activities in which your firm engages.
- (2) State the Federal Tax ID number of your firm as provided on your firm's filed tax returns, if you have one. This could also be the Social Security number of the owner of your firm.

- (3) State the date on which your firm was officially established, as stated in your firm's Articles of Incorporation or charter.
- (4) State the date on which you and/or each other owner took ownership of the firm.
- (5) Check the appropriate box that describes the manner in which you and each other owner acquired ownership of your firm. If you checked "Other," explain in the space provided.
- (6) Check the appropriate box that indicates whether your firm is "for profit."
NOTE: If you checked "No," then you do NOT qualify for the DBE Program and therefore do not need to complete the rest of this application. The DBE Program requires all participating firms be for-profit enterprises.
- (7) Check the appropriate box that describes the legal form of ownership of your firm, as indicated in your firm's Articles of Incorporation. If you checked "Other," briefly explain in the space provided.
- (8) Check the appropriate box that indicates whether your firm has ever existed under different ownership, a different type of ownership, or a different name. If you checked "Yes," specify which and briefly explain the circumstances in the space provided.
- (9) Indicate in the spaces provided how many employees your firm has, specifying the number of employees who work on a full-time and part-time basis.
- (10) Specify the total gross receipts of your firm for each of the past three years, as declared in your firm's filed tax returns.

C. Relationships with Other Businesses

- (1) Check the appropriate box that indicates whether your firm is co-located at any of its business locations, or whether your firm shares a telephone number(s), a post office box, any office space, a yard, warehouse, other facilities, any equipment, or any office staff with any other business, organization, or entity of any kind. If you answered "Yes," then specify the name of the other firm(s) and briefly explain the nature of the shared facilities or other items in the space provided.
- (2) Check the appropriate box that indicates whether at present, or at any time in the past:
 - (a) Your firm has been a subsidiary of any other firm;
 - (b) Your firm consisted of a partnership in which one or more of the partners are other firms;
 - (c) Your firm has owned any percentage of any other firm; and
 - (d) Your firm has had any subsidiaries of its own.

- (3) Check the appropriate box that indicates whether any other firm has ever had an ownership interest in your firm.
- (4) If you answered "Yes" to any of the questions in (2)(a)-(d) or (3), identify the name, address and type of business for each.

D. Immediate Family Member Businesses

Check the appropriate box that indicates whether any of your immediate family members own or manage another company. An "immediate family member" is any person who is your father, mother, husband, wife, son, daughter, brother, sister, grandmother, grandfather, grandson, granddaughter, mother-in-law, or father-in-law. If you answered "Yes," provide the name of each relative, your relationship to them, the name of the company they own or manage, the type of business, and whether they own or manage the company.

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below (if your firm has more than one owner, provide completed copies of this section for each additional owner):

A. Background Information

- (1) Give the name of the owner.
- (2) State his/her title or position within your firm.
- (3) Give his/her home phone number.
- (4) State his/her home (street) address.
- (5) Check the appropriate box that indicates this owner's gender.
- (6) Check the appropriate box that indicates this owner's ethnicity (check all that apply). If you checked "Other," specify this owner's ethnic group/identity not otherwise listed.
- (7) Check the appropriate box to indicate whether this owner is a U.S. citizen.
- (8) If this owner is not a U.S. citizen, check the appropriate box that indicates whether this owner is a lawfully admitted permanent resident. If this owner is neither a U.S. citizen nor a lawfully admitted permanent resident of the U.S., then this owner is NOT eligible for certification as a DBE owner. This, however, does not necessarily disqualify your firm altogether from the DBE Program if another owner is a U.S. citizen or lawfully admitted permanent resident and meets the program's other qualifying requirements.

B. Ownership Interest

- (1) State the number of years during which this owner has been an owner of your firm.
- (2) Indicate the dollar value of this owner's initial investment to acquire an ownership interest in your firm, broken down by cash, real estate, equipment, and/or other investment.
- (3) State the percentage of total ownership control of your firm that this owner possesses.
- (4) State the familial relationship of this owner to each other owner of your firm.
- (5) Indicate the number, percentage of the total, class, date acquired, and method by which this

owner acquired his/her shares of stock in your firm.

- (6) Check the appropriate box that indicates whether this owner performs a management or supervisory function for any other business. If you checked "Yes," state the name of the other business and this owner's title or function held in that business.
- (7) Check the appropriate box that indicates whether this owner owns or works for any other firm(s) that has any relationship with your firm. If you checked "Yes," identify the name of the other business and this owner's title or function held in that business. Briefly describe the nature of the business relationship in the space provided.

C. Disadvantaged Status

***NOTE:** You only need to complete this section for each owner that is applying for DBE qualification (i.e. for each owner who is claiming to be "socially and economically disadvantaged" and whose ownership interest is to be counted toward the control and 51% ownership requirements of the DBE Program).*

- (1) Indicate in the space provided the total Personal Net Worth (PNW) of each owner who is applying for DBE qualification. Use the PNW calculator form at the end of this application to compute each owner's PNW.
- (2) Check the appropriate box that indicates whether any trust has ever been created for the benefit of this disadvantaged owner. If you answered "Yes," briefly explain the nature, history, purpose, and current value of the trust(s).

Section 4: CONTROL

A. Identify your firm's Officers and Board of Directors:

- (1) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each officer of your firm.
- (2) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each individual.
- (3) I serving on your firm's Board of Directors.
- (4) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
- (5) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the officer or director, and the nature of his/her business relationship with that other firm.

B. Identify your firm's management personnel (by name, title, ethnicity, and gender) who control your firm in the following areas:

- (1) Making of financial decisions on your firm's

behalf, including the acquisition of lines of credit, surety bonds, supplies, etc.;

- (2) Estimating and bidding, including calculation of cost estimates, bid preparation and submission;
- (3) Negotiating and contract execution, including participation in any of your firm's negotiations and executing contracts on your firm's behalf;
- (4) Hiring and/or firing of management personnel, including interviewing and conducting performance evaluations;
- (5) Field/Production operations supervision, including site supervision, scheduling, project management services, etc.;
- (6) Office management;
- (7) Marketing and sales;
- (8) Purchasing of major equipment;
- (9) Signing company checks (for any purpose); and
- (10) Conducting any other financial transactions on your firm's behalf not otherwise listed.
- (11) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
- (12) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the name of the person, and the nature of his/her business relationship with that other firm.

C. Indicate your firm's inventory in the following categories:

- (1) Equipment
State the type, make and model, and current dollar value of each piece of equipment held and/or used by your firm. Indicate whether each piece is either owned or leased by your firm.
- (2) Vehicles
State the type, make and model, and current dollar value of each motor vehicle held and/or used by your firm. Indicate whether each vehicle is either owned or leased by your firm.
- (3) Office Space
State the street address of each office space held and/or used by your firm. Indicate whether your firm owns or leases the office space and the current dollar value of that property or its lease.
- (4) Storage Space
State the street address of each storage space held and/or used by your firm. Indicate whether your firm owns or leases the storage space and the current dollar value of that property or its lease.

D. Does your firm rely on any other firm for management functions or employee payroll?

Check the appropriate box that indicates whether your firm relies on any other firm for management functions

or for employee payroll. If you answered "Yes," briefly explain the nature of that reliance and the extent to which the other firm carries out such functions.

E. Financial Information

- (1) Banking Information
 - (a) State the name of your firm's bank.
 - (b) Give the main phone number of your firm's bank branch.
 - (c) Give the address of your firm's bank branch.
- (2) Bonding Information
 - (a) State your firm's Binder Number.
 - (b) State the name of your firm's bond agent and/or broker.
 - (c) Give your agent's/broker's phone number.
 - (d) Give your agent's/broker's address.
 - (e) State your firm's bonding limits (in dollars), specifying both the Aggregate and Project Limits.

F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of persons or firms securing the loan, if other than the listed owner:

State the name and address of each source, the original dollar amount and the current balance of each loan, and the purpose for which each loan was made to your firm.

G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years:

Indicate in the spaces provided, the type of contribution or asset that was transferred, its current dollar value, the person or firm from whom it was transferred, the person or firm to whom it was transferred, the relationship between the two persons and/or firms, and the date of the transfer.

H. List current licenses/permits held by any owner or employee of your firm.

List the name of each person in your firm who holds a professional license or permit, the type of permit or license, the expiration date of the permit or license, and the license/permit number and issuing State of the license or permit.

I. List the three largest contracts completed by your firm in the past three years, if any.

List the name of each owner or contractor for each contract, the name and location of the projects under each contract, the type of work performed on each contract, and the dollar value of each contract.

J. List the three largest active jobs on which your firm is currently working.

For each active job listed, state the name of the prime contractor and the project number, the location, the type of work performed, the project start date, the anticipated completion date, and the dollar value of the contract.

AFFIDAVIT & SIGNATURE

Carefully read the attached affidavit in its entirety.

Fill in the required information for each blank space, and sign and date the affidavit in the presence of a Notary Public, who must then notarize the form.

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Is your firm currently certified for any of the following programs? (If Yes, check appropriate box(es))	<input type="checkbox"/> DBE	Name of certifying agency:
		Has your firm's state UCP conducted an on-site visit? <input type="checkbox"/> Yes, on ____/____/____ State: _____ <input type="checkbox"/> No
	<input type="checkbox"/> 8(a) <input type="checkbox"/> SDB	Ä STOP! If you checked either the 8(a) or SDB box, you <u>may not</u> have to complete this application. Ask your state UCP about the streamlined application process under the SBA-DOT MOU.

B. Prior/Other Applications and Privileges

Has your firm (under any name) or any of its owners, Board of Directors, officers or management personnel, ever withdrawn an application for any of the programs listed above, or ever been denied certification, decertified, or debarred or suspended or otherwise had bidding privileges denied or restricted by any state or local agency, or Federal entity? <input type="checkbox"/> Yes, on ____/____/____ <input type="checkbox"/> No If Yes, identify State and name of state, local, or Federal agency and explain the nature of the action:
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Section 2: GENERAL INFORMATION

A. Contact Information

(1) Contact person and Title:		(2) Legal name of firm:	
(3) Phone #:	(4) Other Phone #:	(5) Fax #:	
(6) E-mail:		(7) Website (if have one):	
(8) Street address of firm (No P.O. Box):	City:	County/Parish:	State: Zip:
(9) Mailing address of firm (if different):	City:	County/Parish:	State: Zip:

B. Business Profile

(1) Describe the primary activities of your firm:	(2) Federal Tax ID (if any):
(3) This firm was established on ____/____/____	(4) I/We have owned this firm since: ____/____/____
(5) Method of acquisition (check all that apply): <input type="checkbox"/> Started new business <input type="checkbox"/> Bought existing business <input type="checkbox"/> Inherited business <input type="checkbox"/> Secured concession <input type="checkbox"/> Merger or consolidation <input type="checkbox"/> Other (explain) _____	

(6) Is your firm "for profit"? <input type="checkbox"/> Yes <input type="checkbox"/> No	Ä STOP! If your firm is NOT for-profit, then you do NOT qualify for this program and do NOT need to fill out this application.
(7) Type of firm (<i>check all that apply</i>): <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Limited Liability Corporation <input type="checkbox"/> Joint Venture <input type="checkbox"/> Other, Describe: _____	
(8) Has your firm ever existed under different ownership, a different type of ownership, or a different name? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, explain: _____	
(9) Number of employees: Full-time _____ Part-time _____ Total _____	
(10) Specify the gross receipts of the firm for the last 3 years: Year _____ Total receipts \$ _____ Year _____ Total receipts \$ _____ Year _____ Total receipts \$ _____	

C. Relationships with Other Businesses

(1) Is your firm co-located at any of its business locations, or does it share a telephone number, P.O. Box, office space, yard, warehouse, facilities, equipment, or office staff, with any other business, organization, or entity? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, identify: Other Firm's name: _____ Explain nature of shared facilities: _____													
(2) At present, or at any time in the past, has your firm:	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px 5px;">(a) been a subsidiary of any other firm?</td> <td style="text-align: right; padding: 2px 5px;"><input type="checkbox"/> Yes <input type="checkbox"/> No</td> </tr> <tr> <td style="padding: 2px 5px;">(b) consisted of a partnership in which one or more of the partners are other firms?</td> <td style="text-align: right; padding: 2px 5px;"><input type="checkbox"/> Yes <input type="checkbox"/> No</td> </tr> <tr> <td style="padding: 2px 5px;">(c) owned any percentage of any other firm?</td> <td style="text-align: right; padding: 2px 5px;"><input type="checkbox"/> Yes <input type="checkbox"/> No</td> </tr> <tr> <td style="padding: 2px 5px;">(d) had any subsidiaries?</td> <td style="text-align: right; padding: 2px 5px;"><input type="checkbox"/> Yes <input type="checkbox"/> No</td> </tr> </table>	(a) been a subsidiary of any other firm?	<input type="checkbox"/> Yes <input type="checkbox"/> No	(b) consisted of a partnership in which one or more of the partners are other firms?	<input type="checkbox"/> Yes <input type="checkbox"/> No	(c) owned any percentage of any other firm?	<input type="checkbox"/> Yes <input type="checkbox"/> No	(d) had any subsidiaries?	<input type="checkbox"/> Yes <input type="checkbox"/> No				
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(c) owned any percentage of any other firm?	<input type="checkbox"/> Yes <input type="checkbox"/> No												
(d) had any subsidiaries?	<input type="checkbox"/> Yes <input type="checkbox"/> No												
(3) Has any other firm had an ownership interest in your firm at present or at any time in the past? <input type="checkbox"/> Yes <input type="checkbox"/> No													
(4) If you answered "Yes" to any of the questions in (2)(a)-(d) and/or (3), identify the following for each (<i>attach extra sheets, if needed</i>): <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 25%;"><u>Name</u></th> <th style="text-align: left; width: 25%;"><u>Address</u></th> <th style="text-align: left; width: 50%;"><u>Type of Business</u></th> </tr> </thead> <tbody> <tr><td>1.</td><td></td><td></td></tr> <tr><td>2.</td><td></td><td></td></tr> <tr><td>3.</td><td></td><td></td></tr> </tbody> </table>		<u>Name</u>	<u>Address</u>	<u>Type of Business</u>	1.			2.			3.		
<u>Name</u>	<u>Address</u>	<u>Type of Business</u>											
1.													
2.													
3.													

D. Immediate Family Member Businesses

Do any of your immediate family members own or manage another company? ☐ Yes ☐ No

If Yes, then list (*attach extra sheets, if needed*):

	<u>Name</u>	<u>Relationship</u>	<u>Company</u>	<u>Type of Business</u>	<u>Own or Manage?</u>
1.					
2.					

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below (*If more than one owner, attach separate sheets for each additional owner*):

A. Background Information

(1) Name:	(2) Title:	(3) Home Phone #:
(4) Home Address (<i>street and number</i>):		City: State: Zip:
(5) Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female	(6) Ethnic group membership (<i>Check all that apply</i>): <input type="checkbox"/> Black <input type="checkbox"/> Hispanic <input type="checkbox"/> Native American <input type="checkbox"/> Asian Pacific <input type="checkbox"/> Subcontinent Asian <input type="checkbox"/> Other (<i>specify</i>) _____	
(7) U.S. Citizen: <input type="checkbox"/> Yes <input type="checkbox"/> No		
(8) Lawfully Admitted Permanent Resident: <input type="checkbox"/> Yes <input type="checkbox"/> No		

B. Ownership Interest

(1) Number of years as owner:	(2) Initial investment to acquire ownership interest in firm <table><thead><tr><th><u>Type</u></th><th><u>Dollar Value</u></th></tr></thead><tbody><tr><td>Cash</td><td>\$</td></tr><tr><td>Real Estate</td><td>\$</td></tr><tr><td>Equipment</td><td>\$</td></tr><tr><td>Other</td><td>\$</td></tr></tbody></table>	<u>Type</u>	<u>Dollar Value</u>	Cash	\$	Real Estate	\$	Equipment	\$	Other	\$
<u>Type</u>		<u>Dollar Value</u>									
Cash		\$									
Real Estate		\$									
Equipment	\$										
Other	\$										
(3) Percentage owned:											
(4) Familial relationship to other owners:											
(5) Shares of Stock: <table><thead><tr><th><u>Number</u></th><th><u>Percentage</u></th><th><u>Class</u></th><th><u>Date Acquired</u></th><th><u>Method Acquired</u></th></tr></thead><tbody><tr><td colspan="5"></td></tr></tbody></table>		<u>Number</u>	<u>Percentage</u>	<u>Class</u>	<u>Date Acquired</u>	<u>Method Acquired</u>					
<u>Number</u>	<u>Percentage</u>	<u>Class</u>	<u>Date Acquired</u>	<u>Method Acquired</u>							
(6) Does this owner perform a management or supervisory function for any other business? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, identify: Name of Business: _____ Function/Title: _____											
(7) Does this owner own or work for any other firm(s) that has a relationship with this firm (<i>e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.</i>)? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, identify: Name of Business: _____ Function/Title: _____ Nature of Business Relationship: _____											

C. Disadvantaged Status – NOTE: Complete this section only for each owner applying for DBE qualification
(i.e. for each owner claiming to be socially and economically disadvantaged)

(1) What is the Personal Net Worth (PNW) of the owner(s) applying for DBE qualification? *(Use and attach the Personal Financial Statement form at the end of this application; attach additional sheets if more than one owner is applying)*

(2) Has any trust been created for the benefit of this disadvantaged owner(s)? ☐ Yes ☐ No

If Yes, explain *(attach additional sheets if needed)*:

Section 4: CONTROL

A. Identify your firm's Officers & Board of Directors *(If additional space is required, attach a separate sheet):*

	Name	Title	Date Appointed	Ethnicity	Gender
(1) Officers of the Company	(a)				
	(b)				
	(c)				
	(d)				
	(e)				
(2) Board of Directors	(a)				
	(b)				
	(c)				
	(d)				
	(e)				

(3) Do any of the persons listed in (1) and/or (2) above perform a management or supervisory function for any other business? ☐ Yes ☐ No

If Yes, identify for each:

Person: _____ Title: _____

Business: _____ Function: _____

(4) Do any of the persons listed (1) and/or (2) above own or work for any other firm(s) that has a relationship with this firm *(e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.)*? ☐ Yes ☐ No

If Yes, identify for each:

Firm Name: _____ Person: _____

Nature of Business Relationship:

B. Identify your firm's management personnel who control your firm in the following areas *(If more than two persons, attach a separate sheet):*

	Name	Title	Ethnicity	Gender
(1) Financial Decisions <i>(responsibility for acquisition of lines of credit, surety bonding, supplies, etc.)</i>	a.			
	b.			
(2) Estimating and Bidding	a.			
	b.			
(3) Negotiating and Contract Execution	a.			
	b.			
(4) Hiring/firing of management personnel	a.			
	b.			
(5) Field/Production Operations Supervisor	a.			
	b.			
(6) Office Management	a.			
	b.			
(7) Marketing/Sales	a.			
	b.			
(8) Purchasing of major equipment	a.			
	b.			
(9) Authorized to Sign Company Checks <i>(for any purpose)</i>	a.			
	b.			
(10) Authorized to make Financial Transactions	a.			
	b.			

(11) Do any of the persons listed in (1) through (10) above perform a management or supervisory function for any other business? ☐ Yes ☐ No

If Yes, identify for each:

Person: _____ Title: _____

Business: _____ Function: _____

(12) Do any of the persons listed in (1) through (10) above own or work for any other firm(s) that has a relationship with this firm (e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.)? ☐ Yes ☐ No

If Yes, identify for each:

Firm Name: _____ Person: _____

Nature of Business Relationship:

C. Indicate your firm's inventory in the following categories (*attach additional sheets if needed*):

(1) Equipment

Type of Equipment	Make/Model	Current Value	Owned or Leased?
(a)			
(b)			
(c)			

(2) Vehicles

Type of Vehicle	Make/Model	Current Value	Owned or Leased?
(a)			
(b)			
(c)			

(3) Office Space

Street Address	Owned or Leased?	Current Value of Property or Lease
(a)		
(b)		

(4) Storage Space

Street Address	Owned or Leased?	Current Value of Property or Lease
(a)		
(b)		

D. Does your firm rely on any other firm for management functions or employee payroll?

☐ Yes ☐ No

If Yes, explain:

E. Financial Information

(1) Banking Information:

(a) Name of bank: _____ (b) Phone No: () _____
(c) Address of bank: _____ City: _____ State: _____ Zip: _____

(2) Bonding Information: If you have bonding capacity, identify: (a) Binder No: _____
(b) Name of agent/broker _____ (c) Phone No: () _____
(d) Address of agent/broker: _____ City: _____ State: _____ Zip: _____
(e) Bonding limit: Aggregate limit \$ _____ Project limit \$ _____

- F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of any persons or firms securing the loan, if other than the listed owner:**

Name of Source	Address of Source	Name of Person Securing the Loan	Original Amount	Current Balance	Purpose of Loan
1.					
2.					
3.					

- G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years (attach additional sheets if needed):**

Contribution/Asset	Dollar Value	From Whom Transferred	To Whom Transferred	Relationship	Date of Transfer
1.					
2.					
3.					

- H. List current licenses/permits held by any owner and/or employee of your firm (e.g. contractor, engineer, architect, etc.) (attach additional sheets if needed):**

Name of License/Permit Holder	Type of License/Permit	Expiration Date	License Number and State
1.			
2.			
3.			

- I. List the three largest contracts completed by your firm in the past three years, if any:**

Name of Owner/Contractor	Name/Location of Project	Type of Work Performed	Dollar Value of Contract
1.			
2.			
3.			

- J. List the three largest active jobs on which your firm is currently working:**

Name of Prime Contractor and Project Number	Location of Project	Type of Work	Project Start Date	Anticipated Completion Date	Dollar Value of Contract
1.					
2.					
3.					



PERSONAL FINANCIAL STATEMENT

U.S. SMALL BUSINESS ADMINISTRATION

As of _____, _____

Complete this form for: (1) each proprietor, or (2) each limited partner who owns 20% or more interest and each general partner, or (3) each stockholder owning 20% or more of voting stock, or (4) any person or entity providing a guaranty on the loan.

Name	Business Phone
Residence Address	Residence Phone
City, State, & Zip Code	
Business Name of Applicant/Borrower	

ASSETS		LIABILITIES	
	(Omit Cents)		(Omit Cents)
Cash on hand & in Banks	\$	Accounts Payable	\$
Savings Accounts	\$	Notes Payable to Banks and Others	\$
IRA or Other Retirement Account	\$	(Describe in Section 2)	
Accounts & Notes Receivable	\$	Installment Account (Auto)	\$
Life Insurance-Cash Surrender Value Only	\$	Mo. Payments \$	
(Complete Section 8)		Installment Account (Other)	\$
Stocks and Bonds	\$	Mo. Payments \$	
(Describe in Section 3)		Loan on Life Insurance	\$
Real Estate	\$	Mortgages on Real Estate	\$
(Describe in Section 4)		(Describe in Section 4)	
Automobile-Present Value	\$	Unpaid Taxes	\$
Other Personal Property	\$	(Describe in Section 6)	
(Describe in Section 5)		Other Liabilities	\$
Other Assets	\$	(Describe in Section 7)	
(Describe in Section 5)		Total Liabilities	\$
Total	\$	Net Worth	\$
		Total	\$

Section 1. Source of Income	Contingent Liabilities
Salary	As Endorser or Co-Maker
Net Investment Income	Legal Claims & Judgments
Real Estate Income	Provision for Federal Income Tax
Other Income (Describe below)*	Other Special Debt

Description of Other Income in Section 1.

*Alimony or child support payments need not be disclosed in "Other Income" unless it is desired to have such payments counted toward total income.

Section 2. Notes Payable to Banks and Others. (Use attachments if necessary. Each attachment must be identified as a part of this statement and signed.)

Name and Address of Noteholder(s)	Original Balance	Current Balance	Payment Amount	Frequency (monthly, etc.)	How Secured or Endorsed Type of Collateral

Section 3. Stocks and Bonds. (Use attachments if necessary. Each attachment must be identified as a part of this statement and signed).					
Number of Shares	Name of Securities	Cost	Market Value Quotation/Exchange	Date of Quotation/Exchange	Total Value
Section 4. Real Estate Owned.		(List each parcel separately. Use attachment if necessary. Each attachment must be identified as a part of this statement and signed.)			
		Property A	Property B	Property C	
Type of Property					
Address					
Date Purchased					
Original Cost					
Present Market Value					
Name & Address of Mortgage Holder					
Mortgage Account Number					
Mortgage Balance					
Amount of Payment per Month/Year					
Status of Mortgage					
Section 5. Other Personal Property and Other Assets.		(Describe, and if any is pledged as security, state name and address of lien holder, amount of lien, terms of payment and if delinquent, describe delinquency)			
Section 6. Unpaid Taxes.		(Describe in detail, as to type, to whom payable, when due, amount, and to what property, if any, a tax lien attaches.)			
Section 7. Other Liabilities.		(Describe in detail.)			
Section 8. Life Insurance Held.		(Give face amount and cash surrender value of policies - name of insurance company and beneficiaries)			
I authorize SBA/Lender to make inquiries as necessary to verify the accuracy of the statements made and to determine my creditworthiness. I certify the above and the statements contained in the attachments are true and accurate as of the stated date(s). These statements are made for the purpose of either obtaining a loan or guaranteeing a loan. I understand FALSE statements may result in forfeiture of benefits and possible prosecution by the U.S. Attorney General (Reference 18 U.S.C. 1001).					
Signature:		Date:	Social Security Number:		
Signature:		Date:	Social Security Number:		
PLEASE NOTE: The estimated average burden hours for the completion of this form is 1.5 hours per response. If you have questions or comments concerning this estimate or any other aspect of this information, please contact Chief, Administrative Branch, U.S. Small Business Administration, Washington, D.C. 20416, and Clearance Officer, Paper Reduction Project (3245-0188), Office of Management and Budget, Washington, D.C. 20503. PLEASE DO NOT SEND FORMS TO OMB.					

AFFIDAVIT OF CERTIFICATION

This form must be signed and notarized for each owner upon which disadvantaged status is relied.

A MATERIAL OR FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS APPLICATION IS SUFFICIENT CAUSE FOR DENIAL OF CERTIFICATION, REVOCATION OF A PRIOR APPROVAL, INITIATION OF SUSPENSION OR DEBARMENT PROCEEDINGS, AND MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO ANY AND ALL CIVIL AND CRIMINAL PENALTIES AVAILABLE PURSUANT TO APPLICABLE FEDERAL AND STATE LAW.

I _____ (full name printed), swear or affirm under penalty of law that I am _____ (title) of applicant firm _____ (firm name)

and that I have read and understood all of the questions in this application and that all of the foregoing information and statements submitted in this application and its attachments and supporting documents are true and correct to the best of my knowledge, and that all responses to the questions are full and complete, omitting no material information. The responses include all material information necessary to fully and accurately identify and explain the operations, capabilities and pertinent history of the named firm as well as the ownership, control, and affiliations thereof.

I recognize that the information submitted in this application is for the purpose of inducing certification approval by a government agency. I understand that a government agency may, by means it deems appropriate, determine the accuracy and truth of the statements in the application, and I authorize such agency to contact any entity named in the application, and the named firm's bonding companies, banking institutions, credit agencies, contractors, clients, and other certifying agencies for the purpose of verifying the information supplied and determining the named firm's eligibility.

I agree to submit to government audit, examination and review of books, records, documents and files, in whatever form they exist, of the named firm and its affiliates, inspection of its places(s) of business and equipment, and to permit interviews of its principals, agents, and employees. I understand that refusal to permit such inquiries shall be grounds for denial of certification.

If awarded a contract or subcontract, I agree to promptly and directly provide the prime contractor, if any, and the Department, recipient agency, or federal funding agency on an ongoing basis, current, complete and accurate information regarding (1) work performed on the project; (2) payments; and (3) proposed changes, if any, to the foregoing arrangements.

I agree to provide written notice to the recipient agency or Unified Certification Program (UCP) of any material change in the information contained in the original application within 30 calendar days of such change (e.g., ownership, address, telephone number, etc.).

I acknowledge and agree that any misrepresentations in this application or in records pertaining to a contract or subcontract will be grounds for terminating any contract or subcontract which may be awarded; denial or revocation of certification; suspension and debarment; and for initiating action under federal and/or state law concerning false statement, fraud or other applicable offenses.

I certify that I am a socially and economically disadvantaged individual who is an owner of the above-referenced firm seeking certification as a Disadvantaged Business Enterprise (DBE). In support of my application, I certify that I am a member of one or more of the following groups, and that I have held myself out as a member of the group(s) (circle all that apply):

Female Black American Hispanic American Native American Asian- Pacific American
Subcontinent Asian American Other (specify) _____.

I certify that I am socially disadvantaged because I have been subjected to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination, because of my identity as a member of one or more of the groups identified above, without regard to my individual qualities.

I further certify that my personal net worth does not exceed \$750,000, and that I am economically disadvantaged because my ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially and economically disadvantaged.

I declare, under penalty of perjury, that the information provided in this application and supporting documents is true and correct.

Executed on: _____ (Date)

Signature: _____

NOTARY CERTIFICATE:

DBE UNIFORM CERTIFICATION APPLICATION SUPPORTING DOCUMENTS CHECKLIST

In order to complete your application for DBE certification, you must attach copies of all of the following documents as they apply to you and your firm.

All Applicants

- ☐ Work experience resumes (that include places of ownership/employment with corresponding dates), for all owners and officers of your firm
- ☐ Personal Financial Statement (*form available with this application*)
- ☐ Personal tax returns for the past three years, if applicable, for each owner claiming disadvantaged status
- ☐ Your firm's tax returns (gross receipts) and all related schedules for the past three years
- ☐ Documented proof of contributions used to acquire ownership for each owner (*e.g. both sides of cancelled checks*)
- ☐ Your firm's signed loan agreements, security agreements, and bonding forms
- ☐ Descriptions of all real estate (including office/storage space, etc.) owned/leased by your firm and documented proof of ownership/signed leases
- ☐ List of equipment leased and signed lease agreements
- ☐ List of construction equipment and/or vehicles owned and titles/proof of ownership
- ☐ Documented proof of any transfers of assets to/from your firm and/or to/from any of its owners over the past two years
- ☐ Year-end balance sheets and income statements for the past three years (or life of firm, if less than three years); a new business must provide a current balance sheet
- ☐ All relevant licenses, license renewal forms, permits, and haul authority forms
- ☐ DBE and SBA 8(a) or SDB certifications, denials, and/or decertifications, if applicable
- ☐ Bank authorization and signatory cards
- ☐ Schedule of salaries (or other compensation or remuneration) paid to all officers, managers, owners, and/or directors of the firm
- ☐ Trust agreements held by any owner claiming disadvantaged status, if any

Partnership or Joint Venture

- ☐ Original and any amended Partnership or Joint Venture Agreements

Corporation or LLC

- ☐ Official Articles of Incorporation (*signed by the state official*)
- ☐ Both sides of all corporate stock certificates and your firm's stock transfer ledger
- ☐ Shareholders' Agreement
- ☐ Minutes of all stockholders and board of directors meetings
- ☐ Corporate by-laws and any amendments
- ☐ Corporate bank resolution and bank signature cards
- ☐ Official Certificate of Formation and Operating Agreement with any amendments (for LLCs)

Trucking Company

- ☐ Documented proof of ownership of the company
- ☐ Insurance agreements for each truck owned or operated by your firm
- ☐ Title(s) and registration certificate(s) for each truck owned or operated by your firm
- ☐ List of USDOT numbers for each truck owned or operated by your firm

Regular Dealer

- ☐ Proof of warehouse ownership or lease
- ☐ List of product lines carried
- ☐ List of distribution equipment owned and/or leased

NOTE: The specific state UCP to which you are applying may have additional required documents that you must also supply with your application. Contact the appropriate certifying agency to which you are applying to find out if more is required.

Small Business Size Standards matched to North American Industry Classification System (NAICS)

These size standards are based on NAICS 2002.

NOTE: USDOT regulations set a cap of \$17.42 million on DBE Size Standards

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
Sector 11 Agriculture, Forestry, Fishing and Hunting			
Subsector 111 - Crop Production			
111110	Soybean Farming	\$0.75	
111120	Oilseed (except Soybean) Farming	\$0.75	
111130	Dry Pea and Bean Farming	\$0.75	
111140	Wheat Farming	\$0.75	
111150	Corn Farming	\$0.75	
111160	Rice Farming	\$0.75	
111191	Oilseed and Grain Combination Farming	\$0.75	
111199	All Other Grain Farming	\$0.75	
111211	Potato Farming	\$0.75	
111219	Other Vegetable (except Potato) and Melon Farming	\$0.75	
111310	Orange Groves	\$0.75	
111320	Citrus (except Orange) Groves	\$0.75	
111331	Apple Orchards	\$0.75	
111332	Grape Vineyards	\$0.75	
111333	Strawberry Farming	\$0.75	
111334	Berry (except Strawberry) Farming	\$0.75	
111335	Tree Nut Farming	\$0.75	
111336	Fruit and Tree Nut Combination Farming	\$0.75	
111339	Other Noncitrus Fruit Farming	\$0.75	
111411	Mushroom Production	\$0.75	
111419	Other Food Crops Grown Under Cover	\$0.75	
111421	Nursery and Tree Production	\$0.75	
111422	Floriculture Production	\$0.75	
111910	Tobacco Farming	\$0.75	
111920	Cotton Farming	\$0.75	
111930	Sugarcane Farming	\$0.75	
111940	Hay Farming	\$0.75	
111991	Sugar Beet Farming	\$0.75	
111992	Peanut Farming	\$0.75	
111998	All Other Miscellaneous Crop Farming	\$0.75	
Subsector 112 - Animal Production			
112111	Beef Cattle Ranching and Farming	\$0.75	
112112	Cattle Feedlots	\$1.50	
112120	Dairy Cattle and Milk Production	\$0.75	
112210	Hog and Pig Farming	\$0.75	
112310	Chicken Egg Production	\$10.50	
112320	Broilers and Other Meat Type Chicken Production	\$0.75	
112330	Turkey Production	\$0.75	
112340	Poultry Hatcheries	\$0.75	
112390	Other Poultry Production	\$0.75	
112410	Sheep Farming	\$0.75	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
112420	Goat Farming	\$0.75	
112511	Finfish Farming and Fish Hatcheries	\$0.75	
112512	Shellfish Farming	\$0.75	
112519	Other Animal Aquaculture	\$0.75	
112910	Apiculture	\$0.75	
112920	Horse and Other Equine Production	\$0.75	
112930	Fur-Bearing Animal and Rabbit Production	\$0.75	
112990	All Other Animal Production	\$0.75	
Subsector 113 - Forestry and Logging			
113110	Timber Tract Operations	\$6.00	
113210	Forest Nurseries and Gathering of Forest Products	\$6.00	
113310	Logging		500
Subsector 114 - Fishing, Hunting and Trapping			
114111	Finfish Fishing	\$3.50	
114112	Shellfish Fishing	\$3.50	
114119	Other Marine Fishing	\$3.50	
114210	Hunting and Trapping	\$3.50	
Subsector 115 - Support Activities for Agriculture and Forestry			
115111	Cotton Ginning	\$6.00	
115112	Soil Preparation, Planting, and Cultivating	\$6.00	
115113	Crop Harvesting, Primarily by Machine	\$6.00	
115114	Postharvest Crop Activities (except Cotton Ginning)	\$6.00	
115115	Farm Labor Contractors and Crew Leaders	\$6.00	
115116	Farm Management Services	\$6.00	
115210	Support Activities for Animal Production	\$6.00	
115310	Support Activities for Forestry	\$6.00	
<i>Except,</i>	Forest Fire Suppression	\$15.00	
<i>Except,</i>	Fuels Management Services	\$15.00	
Sector 21 - Mining			
Subsector 211 - Oil and Gas Extraction			
211111	Crude Petroleum and Natural Gas Extraction		500
211112	Natural Gas Liquid Extraction		500
Subsector 212 - Mining (except Oil and Gas)			
212111	Bituminous Coal and Lignite Surface Mining		500
212112	Bituminous Coal Underground Mining		500
212113	Anthracite Mining		500
212210	Iron Ore Mining		500
212221	Gold Ore Mining		500
212222	Silver Ore Mining		500
212231	Lead Ore and Zinc Ore Mining		500
212234	Copper Ore and Nickel Ore Mining		500
212291	Uranium-Radium-Vanadium Ore Mining		500
212299	All Other Metal Ore Mining		500
212311	Dimension Stone Mining and Quarrying		500
212312	Crushed and Broken Limestone Mining and Quarrying		500
212313	Crushed and Broken Granite Mining and Quarrying		500
212319	Other Crushed and Broken Stone Mining and Quarrying		500
212321	Constructions and Gravel Mining		500
212322	Industrial Sand Mining		500
212324	Kaolin and Ball Clay Mining		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
212325	Clay and Ceramic and Refractory Minerals Mining		500
212391	Potash, Soda, and Borate Mineral Mining		500
212392	Phosphate Rock Mining		500
212393	Other Chemical and Fertilizer Mineral Mining		500
212399	All Other Nonmetallic Mineral Mining		500
Subsector 213 - Support Activities for Mining			
213111	Drilling Oil and Gas Wells		500
213112	Support Activities for Oil and Gas Operations	\$6.00	
213113	Support Activities for Coal Mining	\$6.00	
213114	Support Activities for Metal Mining	\$6.00	
213115	Support Activities for Nonmetallic Minerals (except Fuels)	\$6.00	
Sector 22 - Utilities			
Subsector 221 - Utilities			
221111	Hydroelectric Power Generation	See Footnote 1	
221112	Fossil Fuel Power Generation	See Footnote 1	
221113	Nuclear Electric Power Generation	See Footnote 1	
221119	Other Electric Power Generation	See Footnote 1	
221121	Electric Bulk Power Transmission and Control	See Footnote 1	
221122	Electric Power Distribution	See Footnote 1	
221210	Natural Gas Distribution		500
221310	Water Supply and Irrigation Systems	\$6.00	
221320	Sewage Treatment Facilities	\$6.00	
221330	Steam and Air-Conditioning Supply	\$10.50	
Sector 23 - Construction			
Subsector 236 - Construction of Buildings			
236115	New Single-Family Housing Construction (except Operative Builders)	\$28.50	
236116	New Multifamily Housing Construction (except Operative Builders)	\$28.50	
236117	New Housing Operative Builders	\$28.50	
236118	Residential Remodelers	\$28.50	
236210	Industrial Building Construction	\$28.50	
236220	Commercial and Institutional Building Construction	\$28.50	
Subsector 237 - Heavy and Civil Engineering Construction			
237110	Water and Sewer Line and Related Structures Construction	\$28.50	
237120	Oil and Gas Pipeline and Related Structures Construction	\$28.50	
237130	Power and Communication Line and Related Structures Construction	\$28.50	
237210	Land Subdivision	\$6.00	
237310	Highway, Street, and Bridge Construction	\$28.50	
237990	Other Heavy and Civil Engineering Construction	\$28.50	
Except,	Dredging and Surface Cleanup Activities	\$17.00	
Subsector 238 - Specialty Trade Contractors			
238110	Poured Concrete Foundation and Structure Contractors	\$12.00	
238120	Structural Steel and Precast Concrete Contractors	\$12.00	
238130	Framing Contractors	\$12.00	
238140	Masonry Contractors	\$12.00	
238150	Glass and Glazing Contractors	\$12.00	
238160	Roofing Contractors	\$12.00	
238170	Siding Contractors	\$12.00	
238190	Other Foundation, Structure, and Building Exterior Contractors	\$12.00	
238210	Electrical Contractors	\$12.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
238220	Plumbing, Heating, and Air-Conditioning Contractors	\$12.00	
238290	Other Building Equipment Contractors	\$12.00	
238310	Drywall and Insulation Contractors	\$12.00	
238320	Painting and Wall Covering Contractors	\$12.00	
238330	Flooring Contractors	\$12.00	
238340	Tile and Terrazzo Contractors	\$12.00	
238350	Finish Carpentry Contractors	\$12.00	
238390	Other Building Finishing Contractors	\$12.00	
238910	Site Preparation Contractors	\$12.00	
238990	All Other Specialty Trade Contractors	\$12.00	
Except,	Base Housing Maintenance	\$12.00	
Sectors 31-33 Manufacturing			
Subsector 311 - Food Manufacturing			
311111	Dog and Cat Food Manufacturing		500
311119	Other Animal Food Manufacturing		500
311211	Flour Milling		500
311212	Rice Milling		500
311213	Malt Manufacturing		500
311221	Wet Corn Milling		750
311222	Soybean Processing		500
311223	Other Oilseed Processing		1,000
311225	Fats and Oils Refining and Blending		1,000
311230	Breakfast Cereal Manufacturing		1,000
311311	Sugarcane Mills		500
311312	Cane Sugar Refining		750
311313	Beet Sugar Manufacturing		750
311320	Chocolate and Confectionery Manufacturing from Cacao Beans		500
311330	Confectionery Manufacturing from Purchased Chocolate		500
311340	Non-Chocolate Confectionery Manufacturing		500
311411	Frozen Fruit, Juice and Vegetable Manufacturing		500
311412	Frozen Specialty Food Manufacturing		500
311421	Fruit and Vegetable Canning		500
311422	Specialty Canning		1,000
311423	Dried and Dehydrated Food Manufacturing		500
311511	Fluid Milk Manufacturing		500
311512	Creamery Butter Manufacturing		500
311513	Cheese Manufacturing		500
311514	Dry, Condensed, and Evaporated Dairy Product Manufacturing		500
311520	Ice Cream and Frozen Dessert Manufacturing		500
311611	Animal (except Poultry) Slaughtering		500
311612	Meat Processed from Carcasses		500
311613	Rendering and Meat By-product Processing		500
311615	Poultry Processing		500
311711	Seafood Canning		500
311712	Fresh and Frozen Seafood Processing		500
311811	Retail Bakeries		500
311812	Commercial Bakeries		500
311813	Frozen Cakes, Pies, and Other Pastries Manufacturing		500
311821	Cookie and Cracker Manufacturing		750
311822	Flour Mixes and Dough Manufacturing from Purchased Flour		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
311823	Dry Pasta Manufacturing		500
311830	Tortilla Manufacturing		500
311911	Roasted Nuts and Peanut Butter Manufacturing		500
311919	Other Snack Food Manufacturing		500
311920	Coffee and Tea Manufacturing		500
311930	Flavoring Syrup and Concentrate Manufacturing		500
311941	Mayonnaise, Dressing and Other Prepared Sauce Manufacturing		500
311942	Spice and Extract Manufacturing		500
311991	Perishable Prepared Food Manufacturing		500
311999	All Other Miscellaneous Food Manufacturing		500
Subsector 312 - Beverage and Tobacco Product Manufacturing			
312111	Soft Drink Manufacturing		500
312112	Bottled Water Manufacturing		500
312113	Ice Manufacturing		500
312120	Breweries		500
312130	Wineries		500
312140	Distilleries		750
312210	Tobacco Stemming and Redrying		500
312221	Cigarette Manufacturing		1,000
312229	Other Tobacco Product Manufacturing		500
Subsector 313 - Textile Mills			
313111	Yarn Spinning Mills		500
313112	Yarn Texturizing, Throwing and Twisting Mills		500
313113	Thread Mills		500
313210	Broadwoven Fabric Mills		1,000
313221	Narrow Fabric Mills		500
313222	Schiffli Machine Embroidery		500
313230	Nonwoven Fabric Mills		500
313241	Weft Knit Fabric Mills		500
313249	Other Knit Fabric and Lace Mills		500
313311	Broadwoven Fabric Finishing Mills		1,000
313312	Textile and Fabric Finishing (except Broadwoven Fabric) Mills		500
313320	Fabric Coating Mills		1,000
Subsector 314 - Textile Product Mills			
314110	Carpet and Rug Mills		500
314121	Curtain and Drapery Mills		500
314129	Other Household Textile Product Mills		500
314911	Textile Bag Mills		500
314912	Canvas and Related Product Mills		500
314991	Rope, Cordage and Twine Mills		500
314992	Tire Cord and Tire Fabric Mills		1,000
314999	All Other Miscellaneous Textile Product Mills		500
Subsector 315 - Apparel Manufacturing			
315111	Sheer Hosiery Mills		500
315119	Other Hosiery and Sock Mills		500
315191	Outerwear Knitting Mills		500
315192	Underwear and Nightwear Knitting Mills		500
315211	Men's and Boys' Cut and Sew Apparel Contractors		500
315212	Women's, Girls', and Infants' Cut and Sew Apparel Contractors		500
315221	Men's and Boys' Cut and Sew Underwear and Nightwear Manufacturing		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
315222	Men's and Boys' Cut and Sew Suit, Coat and Overcoat Manufacturing		500
315223	Men's and Boys' Cut and Sew Shirt (except Work Shirt) Manufacturing		500
315224	Men's and Boys' Cut and Sew Trouser, Slack and Jean Manufacturing		500
315225	Men's and Boys' Cut and Sew Work Clothing Manufacturing		500
315228	Men's and Boys' Cut and Sew Other Outerwear Manufacturing		500
315231	Women's and Girls' Cut and Sew Lingerie, Loungewear and Nightwear Manufacturing		500
315232	Women's and Girls' Cut and Sew Blouse and Shirt Manufacturing		500
315233	Women's and Girls' Cut and Sew Dress Manufacturing		500
315234	Women's and Girls' Cut and Sew Suit, Coat, Tailored Jacket and Skirt Manufacturing		500
315239	Women's and Girls' Cut and Sew Other Outerwear Manufacturing		500
315291	Infants' Cut and Sew Apparel Manufacturing		500
315292	Fur and Leather Apparel Manufacturing		500
315299	All Other Cut and Sew Apparel Manufacturing		500
315991	Hat, Cap and Millinery Manufacturing		500
315992	Glove and Mitten Manufacturing		500
315993	Men's and Boys' Neckwear Manufacturing		500
315999	Other Apparel Accessories and Other Apparel Manufacturing		500
Subsector 316 - Leather and Allied Product Manufacturing			
316110	Leather and Hide Tanning and Finishing		500
316211	Rubber and Plastics Footwear Manufacturing		1,000
316212	House Slipper Manufacturing		500
316213	Men's Footwear (except Athletic) Manufacturing		500
316214	Women's Footwear (except Athletic) Manufacturing		500
316219	Other Footwear Manufacturing		500
316991	Luggage Manufacturing		500
316992	Women's Handbag and Purse Manufacturing		500
316993	Personal Leather Good (except Women's Handbag and Purse) Manufacturing		500
316999	All Other Leather Good Manufacturing		500
Subsector 321 - Wood Product Manufacturing			
321113	Sawmills		500
321114	Wood Preservation		500
321211	Hardwood Veneer and Plywood Manufacturing		500
321212	Softwood Veneer and Plywood Manufacturing		500
321213	Engineered Wood Member (except Truss) Manufacturing		500
321214	Truss Manufacturing		500
321219	Reconstituted Wood Product Manufacturing		500
321911	Wood Window and Door Manufacturing		500
321912	Cut Stock, Resawing Lumber, and Planing		500
321918	Other Millwork (including Flooring)		500
321920	Wood Container and Pallet Manufacturing		500
321991	Manufactured Home (Mobile Home) Manufacturing		500
321992	Prefabricated Wood Building Manufacturing		500
321999	All Other Miscellaneous Wood Product Manufacturing		500
Subsector 322 - Paper Manufacturing			
322110	Pulp Mills		750
322121	Paper (except Newsprint) Mills		750
322122	Newsprint Mills		750

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
322130	Paperboard Mills		750
322211	Corrugated and Solid Fiber Box Manufacturing		500
322212	Folding Paperboard Box Manufacturing		750
322213	Setup Paperboard Box Manufacturing		500
322214	Fiber Can, Tube, Drum, and Similar Products Manufacturing		500
322215	Non-Folding Sanitary Food Container Manufacturing		750
322221	Coated and Laminated Packaging Paper and Plastics Film Manufacturing		500
322222	Coated and Laminated Paper Manufacturing		500
322223	Plastics, Foil, and Coated Paper Bag Manufacturing		500
322224	Uncoated Paper and Multiwall Bag Manufacturing		500
322225	Laminated Aluminum Foil Manufacturing for Flexible Packaging Uses		500
322226	Surface-Coated Paperboard Manufacturing		500
322231	Die-Cut Paper and Paperboard Office Supplies Manufacturing		500
322232	Envelope Manufacturing		500
322233	Stationery, Tablet, and Related Product Manufacturing		500
322291	Sanitary Paper Product Manufacturing		500
322299	All Other Converted Paper Product Manufacturing		500
Subsector 323 - Printing and Related Support Activities			
323110	Commercial Lithographic Printing		500
323111	Commercial Gravure Printing		500
323112	Commercial Flexographic Printing		500
323113	Commercial Screen Printing		500
323114	Quick Printing		500
323115	Digital Printing		500
323116	Manifold Business Forms Printing		500
323117	Books Printing		500
323118	Blankbook, Loose-leaf Binder and Device Manufacturing		500
323119	Other Commercial Printing		500
323121	Tradebinding and Related Work		500
323122	Prepress Services		500
Subsector 324 - Petroleum and Coal Products Manufacturing			
324110	Petroleum Refineries		1,500
324121	Asphalt Paving Mixture and Block Manufacturing		500
324122	Asphalt Shingle and Coating Materials Manufacturing		750
324191	Petroleum Lubricating Oil and Grease Manufacturing		500
324199	All Other Petroleum and Coal Products Manufacturing		500
Subsector 325 - Chemical Manufacturing			
325110	Petrochemical Manufacturing		1,000
325120	Industrial Gas Manufacturing		1,000
325131	Inorganic Dye and Pigment Manufacturing		1,000
325132	Synthetic Organic Dye and Pigment Manufacturing		750
325181	Alkalis and Chlorine Manufacturing		1,000
325182	Carbon Black Manufacturing		500
325188	All Other Basic Inorganic Chemical Manufacturing		1,000
325191	Gum and Wood Chemical Manufacturing		500
325192	Cyclic Crude and Intermediate Manufacturing		750
325193	Ethyl Alcohol Manufacturing		1,000
325199	All Other Basic Organic Chemical Manufacturing		1,000
325211	Plastics Material and Resin Manufacturing		750

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
325212	Synthetic Rubber Manufacturing		1,000
325221	Cellulosic Organic Fiber Manufacturing		1,000
325222	Noncellulosic Organic Fiber Manufacturing		1,000
325311	Nitrogenous Fertilizer Manufacturing		1,000
325312	Phosphatic Fertilizer Manufacturing		500
325314	Fertilizer (Mixing Only) Manufacturing		500
325320	Pesticide and Other Agricultural Chemical Manufacturing		500
325411	Medicinal and Botanical Manufacturing		750
325412	Pharmaceutical Preparation Manufacturing		750
325413	In-Vitro Diagnostic Substance Manufacturing		500
325414	Biological Product (except Diagnostic) Manufacturing		500
325510	Paint and Coating Manufacturing		500
325520	Adhesive Manufacturing		500
325611	Soap another Detergent Manufacturing		750
325612	Polish and Other Sanitation Good Manufacturing		500
325613	Surface Active Agent Manufacturing		500
325620	Toilet Preparation Manufacturing		500
325910	Printing Ink Manufacturing		500
325920	Explosives Manufacturing		750
325991	Custom Compounding of Purchased Resins		500
325992	Photographic Film, Paper, Plate and Chemical Manufacturing		500
325998	All Other Miscellaneous Chemical Product and Preparation Manufacturing		500
Subsector 326 - Plastics and Rubber Products Manufacturing			
326111	Unsupported Plastics Bag Manufacturing		500
326112	Unsupported Plastics Packaging Film and Sheet Manufacturing		500
326113	Unsupported Plastics Film and Sheet (except Packaging) Manufacturing		500
326121	Unsupported Plastics Profile Shapes Manufacturing		500
326122	Plastics Pipe and Pipe Fitting Manufacturing		500
326130	Laminated Plastics Plate, Sheet and Shape Manufacturing		500
326140	Polystyrene Foam Product Manufacturing		500
326150	Urethane and Other Foam Product (except Polystyrene) Manufacturing		500
326160	Plastics Bottle Manufacturing		500
326191	Plastics Plumbing Fixture Manufacturing		500
326192	Resilient Floor Covering Manufacturing		750
326199	All Other Plastics Product Manufacturing		500
326211	Tire Manufacturing (except Retreading)		1,000
326212	Tire Retreading		500
326220	Rubber and Plastics Hoses and Belting Manufacturing		500
326291	Rubber Product Manufacturing for Mechanical Use		500
326299	All Other Rubber Product Manufacturing		500
Subsector 327 - Nonmetallic Mineral Product Manufacturing			
327111	Vitreous China Plumbing Fixture and China and Earthenware Bathroom Accessories Manufacturing		750
327112	Vitreous China, Fine Earthenware and Other Pottery Product Manufacturing		500
327113	Porcelain Electrical Supply Manufacturing		500
327121	Brick and Structural Clay Tile Manufacturing		500
327122	Ceramic Wall and Floor Tile Manufacturing		500
327123	Other Structural Clay Product Manufacturing		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
327124	Clay Refractory Manufacturing		500
327125	Nonclay Refractory Manufacturing		750
327211	Flat Glass Manufacturing		1,000
327212	Other Pressed and Blown Glass and Glassware Manufacturing		750
327213	Glass Container Manufacturing		750
327215	Glass Product Manufacturing Made of Purchased Glass		500
327310	Cement Manufacturing		750
327320	Ready-Mix Concrete Manufacturing		500
327331	Concrete Block and Brick Manufacturing		500
327332	Concrete Pipe Manufacturing		500
327390	Other Concrete Product Manufacturing		500
327410	Lime Manufacturing		500
327420	Gypsum Product Manufacturing		1,000
327910	Abrasive Product Manufacturing		500
327991	Cut Stone and Stone Product Manufacturing		500
327992	Ground or Treated Mineral and Earth Manufacturing		500
327993	Mineral Wool Manufacturing		750
327999	All Other Miscellaneous Nonmetallic Mineral Product Manufacturing		500
Subsector 331 - Primary Metal Manufacturing			
331111	Iron and Steel Mills		1,000
331112	Electrometallurgical Ferroalloy Product Manufacturing		750
331210	Iron and Steel Pipe and Tube Manufacturing from Purchased Steel		1,000
331221	Cold-Rolled Steel Shape Manufacturing		1,000
331222	Steel Wire Drawing		1,000
331311	Alumina Refining		1,000
331312	Primary Aluminum Production		1,000
331314	Secondary Smelting and Alloying of Aluminum		750
331315	Aluminum Sheet, Plate and Foil Manufacturing		750
331316	Aluminum Extruded Product Manufacturing		750
331319	Other Aluminum Rolling and Drawing		750
331411	Primary Smelting and Refining of Copper		1,000
331419	Primary Smelting and Refining of Nonferrous Metal (except Copper and Aluminum)		750
331421	Copper Rolling, Drawing and Extruding		750
331422	Copper Wire (except Mechanical) Drawing		1,000
331423	Secondary Smelting, Refining, and Alloying of Copper		750
331491	Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing and Extruding		750
331492	Secondary Smelting, Refining, and Alloying of Nonferrous Metal (except Copper and Aluminum)		750
331511	Iron Foundries		500
331512	Steel Investment Foundries		500
331513	Steel Foundries (except Investment)		500
331521	Aluminum Die-Casting Foundries		500
331522	Nonferrous (except Aluminum) Die-Casting Foundries		500
331524	Aluminum Foundries (except Die-Casting)		500
331525	Copper Foundries (except Die-Casting)		500
331528	Other Nonferrous Foundries (except Die-Casting)		500
Subsector 332 - Fabricated Metal Product Manufacturing			
332111	Iron and Steel Forging		500
332112	Nonferrous Forging		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
332114	Custom Roll Forming		500
332115	Crown and Closure Manufacturing		500
332116	Metal Stamping		500
332117	Powder Metallurgy Part Manufacturing		500
332211	Cutlery and Flatware (except Precious) Manufacturing		500
332212	Hand and Edge Tool Manufacturing		500
332213	Saw Blade and Handsaw Manufacturing		500
332214	Kitchen Utensil, Pot and Pan Manufacturing		500
332311	Prefabricated Metal Building and Component Manufacturing		500
332312	Fabricated Structural Metal Manufacturing		500
332313	Plate Work Manufacturing		500
332321	Metal Window and Door Manufacturing		500
332322	Sheet Metal Work Manufacturing		500
332323	Ornamental and Architectural Metal Work Manufacturing		500
332410	Power Boiler and Heat Exchanger Manufacturing		500
332420	Metal Tank (Heavy Gauge) Manufacturing		500
332431	Metal Can Manufacturing		1,000
332439	Other Metal Container Manufacturing		500
332510	Hardware Manufacturing		500
332611	Spring (Heavy Gauge) Manufacturing		500
332612	Spring (Light Gauge) Manufacturing		500
332618	Other Fabricated Wire Product Manufacturing		500
332710	Machine Shops		500
332721	Precision Turned Product Manufacturing		500
332722	Bolt, Nut, Screw, Rivet and Washer Manufacturing		500
332811	Metal Heat Treating		750
332812	Metal Coating, Engraving (except Jewelry and Silverware), and Allied Services to Manufacturers		500
332813	Electroplating, Plating, Polishing, Anodizing and Coloring		500
332911	Industrial Valve Manufacturing		500
332912	Fluid Power Valve and Hose Fitting Manufacturing		500
332913	Plumbing Fixture Fitting and Trim Manufacturing		500
332919	Other Metal Valve and Pipe Fitting Manufacturing		500
332991	Ball and Roller Bearing Manufacturing		750
332992	Small Arms Ammunition Manufacturing		1,000
332993	Ammunition (except Small Arms) Manufacturing		1,500
332994	Small Arms Manufacturing		1,000
332995	Other Ordnance and Accessories Manufacturing		500
332996	Fabricated Pipe and Pipe Fitting Manufacturing		500
332997	Industrial Pattern Manufacturing		500
332998	Enameled Iron and Metal Sanitary Ware Manufacturing		750
332999	All Other Miscellaneous Fabricated Metal Product Manufacturing		500
Subsector 333 - Machinery Manufacturing			
333111	Farm Machinery and Equipment Manufacturing		500
333112	Lawn and Garden Tractor and Home Lawn and Garden Equipment Manufacturing		500
333120	Construction Machinery Manufacturing		750
333131	Mining Machinery and Equipment Manufacturing		500
333132	Oil and Gas Field Machinery and Equipment Manufacturing		500
333210	Sawmill and Woodworking Machinery Manufacturing		500
333220	Plastics and Rubber Industry Machinery Manufacturing		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
333291	Paper Industry Machinery Manufacturing		500
333292	Textile Machinery Manufacturing		500
333293	Printing Machinery and Equipment Manufacturing		500
333294	Food Product Machinery Manufacturing		500
333295	Semiconductor Machinery Manufacturing		500
333298	All Other Industrial Machinery Manufacturing		500
333311	Automatic Vending Machine Manufacturing		500
333312	Commercial Laundry, Drycleaning and Pressing Machine Manufacturing		500
333313	Office Machinery Manufacturing		1,000
333314	Optical Instrument and Lens Manufacturing		500
333315	Photographic and Photocopying Equipment Manufacturing		500
333319	Other Commercial and Service Industry Machinery Manufacturing		500
333411	Air Purification Equipment Manufacturing		500
333412	Industrial and Commercial Fan and Blower Manufacturing		500
333414	Heating Equipment (except Warm Air Furnaces) Manufacturing		500
333415	Air-Conditioning and Warm Air Heating Equipment and Commercial and Industrial Refrigeration Equipment Manufacturing		750
333511	Industrial Mold Manufacturing		500
333512	Machine Tool (Metal Cutting Types) Manufacturing		500
333513	Machine Tool (Metal Forming Types) Manufacturing		500
333514	Special Die and Tool, Die Set, Jig and Fixture Manufacturing		500
333515	Cutting Tool and Machine Tool Accessory Manufacturing		500
333516	Rolling Mill Machinery and Equipment Manufacturing		500
333518	Other Metalworking Machinery Manufacturing		500
333611	Turbine and Turbine Generator Set Unit Manufacturing		1,000
333612	Speed Changer, Industrial High-Speed Drive and Gear Manufacturing		500
333613	Mechanical Power Transmission Equipment Manufacturing		500
333618	Other Engine Equipment Manufacturing		1,000
333911	Pump and Pumping Equipment Manufacturing		500
333912	Air and Gas Compressor Manufacturing		500
333913	Measuring and Dispensing Pump Manufacturing		500
333921	Elevator and Moving Stairway Manufacturing		500
333922	Conveyor and Conveying Equipment Manufacturing		500
333923	Overhead Traveling Crane, Hoist and Monorail System Manufacturing		500
333924	Industrial Truck, Tractor, Trailer and Stacker Machinery Manufacturing		750
333991	Power-Driven Hand Tool Manufacturing		500
333992	Welding and Soldering Equipment Manufacturing		500
333993	Packaging Machinery Manufacturing		500
333994	Industrial Process Furnace and Oven Manufacturing		500
333995	Fluid Power Cylinder and Actuator Manufacturing		500
333996	Fluid Power Pump and Motor Manufacturing		500
333997	Scale and Balance (except Laboratory) Manufacturing		500
333999	All Other Miscellaneous General Purpose Machinery Manufacturing		500
Subsector 334 - Computer and Electronic Product Manufacturing			
334111	Electronic Computer Manufacturing		1,000
334112	Computer Storage Device Manufacturing		1,000
334113	Computer Terminal Manufacturing		1,000
334119	Other Computer Peripheral Equipment Manufacturing		1,000
334210	Telephone Apparatus Manufacturing		1,000

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
334220	Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing		750
334290	Other Communications Equipment Manufacturing		750
334310	Audio and Video Equipment Manufacturing		750
334411	Electron Tube Manufacturing		750
334412	Bare Printed Circuit Board Manufacturing		500
334413	Semiconductor and Related Device Manufacturing		500
334414	Electronic Capacitor Manufacturing		500
334415	Electronic Resistor Manufacturing		500
334416	Electronic Coil, Transformer, and Other Inductor Manufacturing		500
334417	Electronic Connector Manufacturing		500
334418	Printed Circuit Assembly (Electronic Assembly) Manufacturing		500
334419	Other Electronic Component Manufacturing		500
334510	Electromedical and Electrotherapeutic Apparatus Manufacturing		500
334511	Search, Detection, Navigation, Guidance, Aeronautical, and Nautical System and Instrument Manufacturing		750
334512	Automatic Environmental Control Manufacturing for Residential, Commercial and Appliance Use		500
334513	Instruments and Related Products Manufacturing for Measuring, Displaying, and Controlling Industrial Process Variables		500
334514	Totalizing Fluid Meter and Counting Device Manufacturing		500
334515	Instrument Manufacturing for Measuring and Testing Electricity and Electrical Signals		500
334516	Analytical Laboratory Instrument Manufacturing		500
334517	Irradiation Apparatus Manufacturing		500
334518	Watch, Clock, and Part Manufacturing		500
334519	Other Measuring and Controlling Device Manufacturing		500
334611	Software Reproducing		500
334612	Prerecorded Compact Disc (except Software), Tape, and Record Reproducing		750
334613	Magnetic and Optical Recording Media Manufacturing		1,000
Subsector 335 - Electrical Equipment, Appliance and Component Manufacturing			
335110	Electric Lamp Bulb and Part Manufacturing		1,000
335121	Residential Electric Lighting Fixture Manufacturing		500
335122	Commercial, Industrial and Institutional Electric Lighting Fixture Manufacturing		500
335129	Other Lighting Equipment Manufacturing		500
335211	Electric Housewares and Household Fan Manufacturing		750
335212	Household Vacuum Cleaner Manufacturing		750
335221	Household Cooking Appliance Manufacturing		750
335222	Household Refrigerator and Home Freezer Manufacturing		1,000
335224	Household Laundry Equipment Manufacturing		1,000
335228	Other Major Household Appliance Manufacturing		500
335311	Power, Distribution and Specialty Transformer Manufacturing		750
335312	Motor and Generator Manufacturing		1,000
335313	Switchgear and Switchboard Apparatus Manufacturing		750
335314	Relay and Industrial Control Manufacturing		750
335911	Storage Battery Manufacturing		500
335912	Primary Battery Manufacturing		1,000
335921	Fiber Optic Cable Manufacturing		1,000
335929	Other Communication and Energy Wire Manufacturing		1,000

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
335931	Current-Carrying Wiring Device Manufacturing		500
335932	Noncurrent-Carrying Wiring Device Manufacturing		500
335991	Carbon and Graphite Product Manufacturing		750
335999	All Other Miscellaneous Electrical Equipment and Component Manufacturing		500
Subsector 336 - Transportation Equipment Manufacturing			
336111	Automobile Manufacturing		1,000
336112	Light Truck and Utility Vehicle Manufacturing		1,000
336120	Heavy Duty Truck Manufacturing		1,000
336211	Motor Vehicle Body Manufacturing		1,000
336212	Truck Trailer Manufacturing		500
336213	Motor Home Manufacturing		1,000
336214	Travel Trailer and Camper Manufacturing		500
336311	Carburetor, Piston, Piston Ring and Valve Manufacturing		500
336312	Gasoline Engine and Engine Parts Manufacturing		750
336321	Vehicular Lighting Equipment Manufacturing		500
336322	Other Motor Vehicle Electrical and Electronic Equipment Manufacturing		750
336330	Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing		750
336340	Motor Vehicle Brake System Manufacturing		750
336350	Motor Vehicle Transmission and Power Train Parts Manufacturing		750
336360	Motor Vehicle Seating and Interior Trim Manufacturing		500
336370	Motor Vehicle Metal Stamping		500
336391	Motor Vehicle Air-Conditioning Manufacturing		750
336399	All Other Motor Vehicle Parts Manufacturing		750
336411	Aircraft Manufacturing		1,500
336412	Aircraft Engine and Engine Parts Manufacturing		1,000
336413	Other Aircraft Part and Auxiliary Equipment Manufacturing		1,000
336414	Guided Missile and Space Vehicle Manufacturing		1,000
336415	Guided Missile and Space Vehicle Propulsion Unit and Propulsion Unit Parts Manufacturing		1,000
336419	Other Guided Missile and Space Vehicle Parts and Auxiliary Equipment Manufacturing		1,000
336510	Railroad Rolling Stock Manufacturing		1,000
336611	Ship Building and Repairing		1,000
336612	Boat Building		500
336991	Motorcycle, Bicycle and Parts Manufacturing		500
336992	Military Armored Vehicle, Tank and Tank Component Manufacturing		1,000
336999	All Other Transportation Equipment Manufacturing		500
Subsector 337 - Furniture and Related Product Manufacturing			
337110	Wood Kitchen Cabinet and Counter Top Manufacturing		500
337121	Upholstered Household Furniture Manufacturing		500
337122	Nonupholstered Wood Household Furniture Manufacturing		500
337124	Metal Household Furniture Manufacturing		500
337125	Household Furniture (except Wood and Metal) Manufacturing		500
337127	Institutional Furniture Manufacturing		500
337129	Wood Television, Radio, and Sewing Machine Cabinet Manufacturing		500
337211	Wood Office Furniture Manufacturing		500
337212	Custom Architectural Woodwork and Millwork Manufacturing		500
337214	Office Furniture (Except Wood) Manufacturing		500

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
337215	Showcase, Partition, Shelving, and Locker Manufacturing		500
337910	Mattress Manufacturing		500
337920	Blind and Shade Manufacturing		500
Subsector 339 - Miscellaneous Manufacturing			
339111	Laboratory Apparatus and Furniture Manufacturing		500
339112	Surgical and Medical Instrument Manufacturing		500
339113	Surgical Appliance and Supplies Manufacturing		500
339114	Dental Equipment and Supplies Manufacturing		500
339115	Ophthalmic Goods Manufacturing		500
339116	Dental Laboratories		500
339911	Jewelry (except Costume) Manufacturing		500
339912	Silverware and Hollowware Manufacturing		500
339913	Jewelers' Material and Lapidary Work Manufacturing		500
339914	Costume Jewelry and Novelty Manufacturing		500
339920	Sporting and Athletic Goods Manufacturing		500
339931	Doll and Stuffed Toy Manufacturing		500
339932	Game, Toy, and Children's Vehicle Manufacturing		500
339941	Pen and Mechanical Pencil Manufacturing		500
339942	Lead Pencil and Art Good Manufacturing		500
339943	Marking Device Manufacturing		500
339944	Carbon Paper and Inked Ribbon Manufacturing		500
339950	Sign Manufacturing		500
339991	Gasket, Packing, and Sealing Device Manufacturing		500
339992	Musical Instrument Manufacturing		500
339993	Fastener, Button, Needle and Pin Manufacturing		500
339994	Broom, Brush and Mop Manufacturing		500
339995	Burial Casket Manufacturing		500
339999	All Other Miscellaneous Manufacturing		500
Sector 42 - Wholesale Trade			
(Not applicable to Government procurement of supplies. The nonmanufacturer size standard of 500			
Subsector 423 - Merchant Wholesalers, Durable Goods			
423110	Automobile and Other Motor Vehicle Merchant Wholesalers		100
423120	Motor Vehicle Supplies and New Parts Merchant Wholesalers		100
423130	Tire and Tube Merchant Wholesalers		100
423140	Motor Vehicle Parts (Used) Merchant Wholesalers		100
423210	Furniture Merchant Wholesalers		100
423220	Home Furnishing Merchant Wholesalers		100
423310	Lumber, Plywood, Millwork, and Wood Panel Merchant Wholesalers		100
423320	Brick, Stone, and Related Construction Material Merchant Wholesalers		100
423330	Roofing, Siding, and Insulation Material Merchant Wholesalers		100
423390	Other Construction Material Merchant Wholesalers		100
423410	Photographic Equipment and Supplies Merchant Wholesalers		100
423420	Office Equipment Merchant Wholesalers		100
423430	Computer and Computer Peripheral Equipment and Software Merchant Wholesalers		100
423440	Other Commercial Equipment Merchant Wholesalers		100
423450	Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers		100
423460	Ophthalmic Goods Merchant Wholesalers		100
423490	Other Professional Equipment and Supplies Merchant Wholesalers		100

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
423510	Metal Service Centers and Other Metal Merchant Wholesalers		100
423520	Coal and Other Mineral and Ore Merchant Wholesalers		100
423610	Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers		100
423620	Electrical and Electronic Appliance, Television, and Radio Set Merchant Wholesalers		100
423690	Other Electronic Parts and Equipment Merchant Wholesalers		100
423710	Hardware Merchant Wholesalers		100
423720	Plumbing and Heating Equipment and Supplies (Hydronics) Merchant Wholesalers		100
423730	Warm Air Heating and Air-Conditioning Equipment and Supplies Merchant Wholesalers		100
423740	Refrigeration Equipment and Supplies Merchant Wholesalers		100
423810	Construction and Mining (except Oil Well) Machinery and Equipment Merchant Wholesalers		100
423820	Farm and Garden Machinery and Equipment Merchant Wholesalers		100
423830	Industrial Machinery and Equipment Merchant Wholesalers		100
423840	Industrial Supplies Merchant Wholesalers		100
423850	Service Establishment Equipment and Supplies Merchant Wholesalers		100
423860	Transportation Equipment and Supplies (except Motor Vehicle) Merchant Wholesalers		100
423910	Sporting and Recreational Goods and Supplies Merchant Wholesalers		100
423920	Toyland Hobby Goods and Supplies Merchant Wholesalers		100
423930	Recyclable Material Merchant Wholesalers		100
423940	Jewelry, Watch, Precious Stone, and Precious Metal Merchant Wholesalers		100
423990	Other Miscellaneous Durable Goods Merchant Wholesalers		100
Subsector 424 - Merchant Wholesalers, Nondurable Goods			
424110	Printing and Writing Paper Merchant Wholesalers		100
424120	Stationary and Office Supplies Merchant Wholesalers		100
424130	Industrial and Personal Service Paper Merchant Wholesalers		100
424210	Drugs and Druggists' Sundries Merchant Wholesalers		100
424310	Piece Goods, Notions, and Other Dry Goods Merchant Wholesalers		100
424320	Men's and Boys' Clothing and Furnishings Merchant Wholesalers		100
424330	Women's, Children's, and Infants' Clothing and Accessories Merchant Wholesalers		100
424340	Footwear Merchant Wholesalers		100
424410	General Line Grocery Merchant Wholesalers		100
424420	Packaged Frozen Food Merchant Wholesalers		100
424430	Dairy Product (except Dried or Canned) Merchant Wholesalers		100
424440	Poultry and Poultry Product Merchant Wholesalers		100
424450	Confectionery Merchant Wholesalers		100
424460	Fish and Seafood Merchant Wholesalers		100
424470	Meat and Meat Product Merchant Wholesalers		100
424480	Fresh Fruit and Vegetable Merchant Wholesalers		100
424490	Other Grocery and Related Products Merchant Wholesalers		100
424510	Grain and Field Bean Merchant Wholesalers		100
424520	Livestock Merchant Wholesalers		100
424590	Other Farm Product Raw Material Merchant Wholesalers		100
424610	Plastics Materials and Basic Forms and Shapes Merchant Wholesalers		100

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
424690	Other Chemical and Allied Products Merchant Wholesalers		100
424710	Petroleum Bulk Stations and Terminals		100
424720	Petroleum and Petroleum Products Merchant Wholesalers (except Bulk Stations and Terminals)		100
424810	Beer and Ale Merchant Wholesalers		100
424820	Wine and Distilled Alcoholic Beverage Merchant Wholesalers		100
424910	Farm Supplies Merchant Wholesalers		100
424920	Book, Periodical, and Newspaper Merchant Wholesalers		100
424930	Flower, Nursery Stock, and Florists' Supplies Merchant Wholesalers		100
424940	Tobacco and Tobacco Product Merchant Wholesalers		100
424950	Paint, Varnish, and Supplies Merchant Wholesalers		100
424990	Other Miscellaneous Nondurable Goods Merchant Wholesalers		100
Subsector 425 - Wholesale Electronic Markets and Agents and Brokers			
425110	Business to Business Electronic Markets		100
425120	Wholesale Trade Agents and Brokers		100
Sectors 44-45 - Retail Trade			
(Not applicable to Government procurement of supplies. The nonmanufacturer size standard of 500			
Subsector 441 - Motor Vehicle and Parts Dealers			
441110	New Car Dealers	\$24.50	
441120	Used Car Dealers	\$19.50	
441210	Recreational Vehicle Dealers	\$6.00	
441221	Motorcycle Dealers	\$6.00	
441222	Boat Dealers	\$6.00	
441229	All Other Motor Vehicle Dealers	\$6.00	
Except,	Aircraft Dealers, Retail	\$8.50	
441310	Automotive Parts and Accessories Stores	\$6.00	
441320	Tire Dealers	\$6.00	
Subsector 442 - Furniture and Home Furnishings Stores			
442110	Furniture Stores	\$6.00	
442210	Floor Covering Stores	\$6.00	
442291	Window Treatment Stores	\$6.00	
442299	All Other Home Furnishings Stores	\$6.00	
Subsector 443 - Electronics and Appliance Stores			
443111	Household Appliance Stores	\$7.50	
443112	Radio, Television and Other Electronics Stores	\$7.50	
443120	Computer and Software Stores	\$7.50	
443130	Camera and Photographic Supplies Stores	\$6.00	
Subsector 444 - Building Material and Garden Equipment and Supplies Dealers			
444110	Home Centers	\$6.00	
444120	Paint and Wallpaper Stores	\$6.00	
444130	Hardware Stores	\$6.00	
444190	Other Building Material Dealers	\$6.00	
444210	Outdoor Power Equipment Stores	\$6.00	
444220	Nursery and Garden Centers	\$6.00	
Subsector 445 - Food and Beverage Stores			
445110	Supermarkets and Other Grocery (except Convenience) Stores	\$23.00	
445120	Convenience Stores	\$23.00	
445210	Meat Markets	\$6.00	
445220	Fish and Seafood Markets	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
445230	Fruit and Vegetable Markets	\$6.00	
445291	Baked Goods Stores	\$6.00	
445292	Confectionery and Nut Stores	\$6.00	
445299	All Other Specialty Food Stores	\$6.00	
445310	Beer, Wine and Liquor Stores	\$6.00	
Subsector 446 - Health and Personal Care Stores			
446110	Pharmacies and Drug Stores	\$6.00	
446120	Cosmetics, Beauty Supplies and Perfume Stores	\$6.00	
446130	Optical Goods Stores	\$6.00	
446191	Food (Health) Supplement Stores	\$6.00	
446199	All Other Health and Personal Care Stores	\$6.00	
Subsector 447 - Gasoline Stations			
447110	Gasoline Stations with Convenience Stores	\$23.00	
447190	Other Gasoline Stations	\$7.50	
Subsector 448 - Clothing and Clothing Accessories Stores			
448110	Men's Clothing Stores	\$7.50	
448120	Women's Clothing Stores	\$7.50	
448130	Children's and Infants' Clothing Stores	\$6.00	
448140	Family Clothing Stores	\$7.50	
448150	Clothing Accessories Stores	\$6.00	
448190	Other Clothing Stores	\$6.00	
448210	Shoe Stores	\$7.50	
448310	Jewelry Stores	\$6.00	
448320	Luggage and Leatherwoods Stores	\$6.00	
Subsector 451 - Sporting Good, Hobby, Book and Music Stores			
451110	Sporting Goods Stores	\$6.00	
451120	Hobby, Toy and Game Stores	\$6.00	
451130	Sewing, Needlework and Piece Goods Stores	\$6.00	
451140	Musical Instrument and Supplies Stores	\$6.00	
451211	Book Stores	\$6.00	
451212	News Dealers and Newsstands	\$6.00	
451220	Prerecorded Tape, Compact Disc and Record Stores	\$6.00	
Subsector 452 - General Merchandise Store			
452111	Department Stores (except Discount Department Stores)	\$23.00	
452112	Discount Department Stores	\$23.00	
452910	Warehouse Clubs and Superstores	\$23.00	
452990	All Other General Merchandise Stores	\$9.50	
Subsector 453 - Miscellaneous Store Retailers			
453110	Florists	\$6.00	
453210	Office Supplies and Stationery Stores	\$6.00	
453220	Gift, Novelty and Souvenir Stores	\$6.00	
453310	Used Merchandise Stores	\$6.00	
453910	Pet and Pet Supplies Stores	\$6.00	
453920	Art Dealers	\$6.00	
453930	Manufactured (Mobile) Home Dealers	\$11.00	
453991	Tobacco Stores	\$6.00	
453998	All Other Miscellaneous Store Retailers (except Tobacco Stores)	\$6.00	
Subsector 454 - Nonstore Retailers			

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
454111	Electronic Shopping	\$21.00	
454112	Electronic Auctions	\$21.00	
454113	Mail-Order Houses	\$21.00	
454210	Vending Machine Operators	\$6.00	
454311	Heating Oil Dealers	\$10.50	
454312	Liquefied Petroleum Gas (Bottled Gas) Dealers	\$6.00	
454319	Other Fuel Dealers	\$6.00	
454390	Other Direct Selling Establishments	\$6.00	
Sectors 48-49 - Transportation			
Subsector 481 - Air Transportation			
481111	Scheduled Passenger Air Transportation		1,500
481112	Scheduled Freight Air Transportation		1,500
481211	Nonscheduled Chartered Passenger Air Transportation		1,500
Except,	Offshore Marine Air Transportation Services	\$23.50	
481212	Nonscheduled Chartered Freight Air Transportation		1,500
Except,	Offshore Marine Air Transportation Services	\$23.50	
481219	Other Nonscheduled Air Transportation	\$6.00	
Subsector 482 - Rail Transportation			
482111	Line-Haul Railroads		1,500
482112	Short Line Railroads		500
Subsector 483 - Water Transportation			
483111	Deep Sea Freight Transportation		500
483112	Deep Sea Passenger Transportation		500
483113	Coastal and Great Lakes Freight Transportation		500
483114	Coastal and Great Lakes Passenger Transportation		500
483211	Inland Water Freight Transportation		500
483212	Inland Water Passenger Transportation		500
Subsector 484 - Truck Transportation			
484110	General Freight Trucking, Local	\$21.50	
484121	General Freight Trucking, Long-Distance, Truckload	\$21.50	
484122	General Freight Trucking, Long-Distance, Less Than Truckload	\$21.50	
484210	Used Household and Office Goods Moving	\$21.50	
484220	Specialized Freight (except Used Goods) Trucking, Local	\$21.50	
484230	Specialized Freight (except Used Goods) Trucking, Long-Distance	\$21.50	
Subsector 485 - Transit and Ground Passenger Transportation			
485111	Mixed Mode Transit Systems	\$6.00	
485112	Commuter Rail Systems	\$6.00	
485113	Bus and Motor Vehicle Transit Systems	\$6.00	
485119	Other Urban Transit Systems	\$6.00	
485210	Interurban and Rural Bus Transportation	\$6.00	
485310	Taxi Service	\$6.00	
485320	Limousine Service	\$6.00	
485410	School and Employee Bus Transportation	\$6.00	
485510	Charter Bus Industry	\$6.00	
485991	Special Needs Transportation	\$6.00	
485999	All Other Transit and Ground Passenger Transportation	\$6.00	
Subsector 486 - Pipeline Transportation			
486110	Pipeline Transportation of Crude Oil		1,500
486210	Pipeline Transportation of Natural Gas	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
486910	Pipeline Transportation of Refined Petroleum Products		1,500
486990	All Other Pipeline Transportation	\$29.00	
Subsector 487 - Scenic and Sightseeing Transportation			
487110	Scenic and Sightseeing Transportation, Land	\$6.00	
487210	Scenic and Sightseeing Transportation, Water	\$6.00	
487990	Scenic and Sightseeing Transportation, Other	\$6.00	
Subsector 488 - Support Activities for Transportation			
488111	Air Traffic Control	\$6.00	
488119	Other Airport Operations	\$6.00	
488190	Other Support Activities for Air Transportation	\$6.00	
488210	Support Activities for Rail Transportation	\$6.00	
488310	Port and Harbor Operations	\$21.50	
488320	Marine Cargo Handling	\$21.50	
488330	Navigational Services to Shipping	\$6.00	
488390	Other Support Activities for Water Transportation	\$6.00	
488410	Motor Vehicle Towing	\$6.00	
488490	Other Support Activities for Road Transportation	\$6.00	
488510	Freight Transportation Arrangement	\$6.00	
<i>Except,</i>	Non-Vessel Owning Common Carriers and Household Goods Forwarders	\$21.50	
488991	Packing and Crating	\$21.50	
488999	All Other Support Activities for Transportation	\$6.00	
Subsector 491 - Postal Service			
491110	Postal Service	\$6.00	
Subsector 492 - Couriers and Messengers			
492110	Couriers		1,500
492210	Local Messengers and Local Delivery	\$21.50	
Subsector 493 - Warehousing and Storage			
493110	General Warehousing and Storage	\$21.50	
493120	Refrigerated Warehousing and Storage	\$21.50	
493130	Farm Product Warehousing and Storage	\$21.50	
493190	Other Warehousing and Storage	\$21.50	
Sector 51 - Information			
Subsector 511 - Publishing Industries (except Internet)			
511110	Newspaper Publishers		500
511120	Periodical Publishers		500
511130	Book Publishers		500
511140	Directory and Mailing List Publishers		500
511191	Greeting Card Publishers		500
511199	All Other Publishers		500
511210	Software Publishers	\$21.00	
Subsector 512 - Motion Picture and Sound Recording Industries			
512110	Motion Picture and Video Production	\$25.00	
512120	Motion Picture and Video Distribution	\$25.00	
512131	Motion Picture Theaters (except Drive-Ins)	\$6.00	
512132	Drive-In Motion Picture Theaters	\$6.00	
512191	Teleproduction and Other Postproduction Services	\$25.00	
512199	Other Motion Picture and Video Industries	\$6.00	
512210	Record Production	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
512220	Integrated Record Production/Distribution		750
512230	Music Publishers		500
512240	Sound Recording Studios	\$6.00	
512290	Other Sound Recording Industries	\$6.00	
Subsector 515 - Broadcasting (except Internet)			
515111	Radio Networks	\$6.00	
515112	Radio Stations	\$6.00	
515120	Television Broadcasting	\$12.00	
515210	Cable and Other Subscription Programming	\$12.50	
Subsector 516 - Internet Publishing and Broadcasting			
516110	Internet Publishing and Broadcasting		500
Subsector 517 - Telecommunications			
517110	Wired Telecommunications Carriers		1,500
517211	Paging		1,500
517212	Cellular and Other Wireless Telecommunications		1,500
517310	Telecommunications Resellers		1,500
517410	Satellite Telecommunications	\$12.50	
517510	Cable and Other Program Distribution	\$12.50	
517910	Other Telecommunications	\$12.50	
Subsector 518 - Internet Service Providers, Web Search Portals, and Data Processing			
518111	Internet Service Providers	\$21.00	
518112	Web Search Portals	\$6.00	
518210	Data Processing, Hosting, and Related Services	\$21.00	
Subsector 519 - Other Information Services			
519110	News Syndicates	\$6.00	
519120	Libraries and Archives	\$6.00	
519190	All Other Information Services	\$6.00	
Sector 52 - Finance and Insurance			
Subsector 522 - Credit Intermediation and Related Activities			
522110	Commercial Banking	\$150 million in assets	
522120	Savings Institutions	\$150 million in assets	
522130	Credit Unions	\$150 million in assets	
522190	Other Depository Credit Intermediation	\$150 million in assets	
522210	Credit Card Issuing	\$150 million in assets	
522220	Sales Financing	\$6.00	
522291	Consumer Lending	\$6.00	
522292	Real Estate Credit	\$6.00	
522293	International Trade Financing	\$150 million in assets	
522294	Secondary Market Financing	\$6.00	
522298	All Other Non-Depository Credit Intermediation	\$6.00	
522310	Mortgage and Nonmortgage Loan Brokers	\$6.00	
522320	Financial Transactions Processing, Reserve, and Clearing House Activities	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
522390	Other Activities Related to Credit Intermediation	\$6.00	
Subsector 523 - Financial Investments and Related Activities			
523110	Investment Banking and Securities Dealing	\$6.00	
523120	Securities Brokerage	\$6.00	
523130	Commodity Contracts Dealing	\$6.00	
523140	Commodity Contracts Brokerage	\$6.00	
523210	Securities and Commodity Exchanges	\$6.00	
523910	Miscellaneous Intermediation	\$6.00	
523920	Portfolio Management	\$6.00	
523930	Investment Advice	\$6.00	
523991	Trust, Fiduciary and Custody Activities	\$6.00	
523999	Miscellaneous Financial Investment Activities	\$6.00	
Subsector 524 - Insurance Carriers and Related Activities			
524113	Direct Life Insurance Carriers	\$6.00	
524114	Direct Health and Medical Insurance Carriers	\$6.00	
524126	Direct Property and Casualty Insurance Carriers		1,500
524127	Direct Title Insurance Carriers	\$6.00	
524128	Other Direct Insurance (except Life, Health and Medical) Carriers	\$6.00	
524130	Reinsurance Carriers	\$6.00	
524210	Insurance Agencies and Brokerages	\$6.00	
524291	Claims Adjusting	\$6.00	
524292	Third Party Administration of Insurance and Pension Funds	\$6.00	
524298	All Other Insurance Related Activities	\$6.00	
Subsector 525 - Funds, Trusts and Other Financial Vehicles			
525110	Pension Funds	\$6.00	
525120	Health and Welfare Funds	\$6.00	
525190	Other Insurance Funds	\$6.00	
525910	Open-End Investment Funds	\$6.00	
525920	Trusts, Estates, and Agency Accounts	\$6.00	
525930	Real Estate Investment Trusts	\$6.00	
525990	Other Financial Vehicles	\$6.00	
Sector 53 - Real Estate and Rental and Leasing			
Subsector 531 - Real Estate			
531110	Lessors of Residential Buildings and Dwellings	\$6.00	
531120	Lessors of Nonresidential Buildings (except Miniwarehouses)	\$6.00	
531130	Lessors of Miniwarehouses and Self Storage Units	\$21.50	
531190	Lessors of Other Real Estate Property	\$6.00	
<i>Except,</i>	Leasing of Building Space to Federal Government by Owners	\$17.50	
531210	Offices of Real Estate Agents and Brokers	\$1.50	
531311	Residential Property Managers	\$1.50	
531312	Nonresidential Property Managers	\$1.50	
531320	Offices of Real Estate Appraisers	\$1.50	
531390	Other Activities Related to Real Estate	\$1.50	
Subsector 532 - Rental and Leasing Services			
532111	Passenger Car Rental	\$21.50	
532112	Passenger Car Leasing	\$21.50	
532120	Truck, Utility Trailer, and RV (Recreational Vehicle) Rental and Leasing	\$21.50	
532210	Consumer Electronics and Appliances Rental	\$6.00	
532220	Formal Wear and Costume Rental	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
532230	Video Tape and Disc Rental	\$6.00	
532291	Home Health Equipment Rental	\$6.00	
532292	Recreational Goods Rental	\$6.00	
532299	All Other Consumer Goods Rental	\$6.00	
532310	General Rental Centers	\$6.00	
532411	Commercial Air, Rail, and Water Transportation Equipment Rental and Leasing	\$6.00	
532412	Construction, Mining and Forestry Machinery and Equipment Rental and Leasing	\$6.00	
532420	Office Machinery and Equipment Rental and Leasing	\$21.00	
532490	Other Commercial and Industrial Machinery and Equipment Rental and Leasing	\$6.00	
Subsector 533 - Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)			
533110	Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)	\$6.00	
Sector 54 - Professional, Scientific and Technical Services			
Subsector 541 - Professional, Scientific and Technical Services			
541110	Offices of Lawyers	\$6.00	
541191	Title Abstract and Settlement Offices	\$6.00	
541199	All Other Legal Services	\$6.00	
541211	Offices of Certified Public Accountants	\$7.00	
541213	Tax Preparation Services	\$6.00	
541214	Payroll Services	\$7.00	
541219	Other Accounting Services	\$7.00	
541310	Architectural Services	\$4.00	
541320	Landscape Architectural Services	\$6.00	
541330	Engineering Services	\$4.00	
Except,	Military and Aerospace Equipment and Military Weapons	\$23.00	
Except,	Contracts and Subcontracts for Engineering Services Awarded Under the National Energy Policy Act of 1992	\$23.00	
Except,	Marine Engineering and Naval Architecture	\$15.50	
541340	Drafting Services	\$6.00	
Except,	Map Drafting	\$4.00	
541350	Building Inspection Services	\$6.00	
541360	Geophysical Surveying and Mapping Services	\$4.00	
541370	Surveying and Mapping (except Geophysical) Services	\$4.00	
541380	Testing Laboratories	\$6.00	
541410	Interior Design Services	\$6.00	
541420	Industrial Design Services	\$6.00	
541430	Graphic Design Services	\$6.00	
541490	Other Specialized Design Services	\$6.00	
541511	Custom Computer Programming Services	\$21.00	
541512	Computer Systems Design Services	\$21.00	
541513	Computer Facilities Management Services	\$21.00	
541519	Other Computer Related Services	\$21.00	
541611	Administrative Management and General Management Consulting Services	\$6.00	
541612	Human Resources and Executive Search Consulting Services	\$6.00	
541613	Marketing Consulting Services	\$6.00	
541614	Process, Physical Distribution and Logistics Consulting Services	\$6.00	
541618	Other Management Consulting Services	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
541620	Environmental Consulting Services	\$6.00	
541690	Other Scientific and Technical Consulting Services	\$6.00	
541710	Research and Development in the Physical, Engineering, and Life Sciences		500
Except,	Aircraft		1,500
Except,	Aircraft Parts, and Auxiliary Equipment, and Aircraft Engine Parts		1,000
Except,	Space Vehicles and Guided Missiles, their Propulsion Units, their Propulsion Units Parts, and their Auxiliary Equipment and Parts		1,000
541720	Research and Development in the Social Sciences and Humanities	\$6.00	
541810	Advertising Agencies	\$6.00	
541820	Public Relations Agencies	\$6.00	
541830	Media Buying Agencies	\$6.00	
541840	Media Representatives	\$6.00	
541850	Display Advertising	\$6.00	
541860	Direct Mail Advertising	\$6.00	
541870	Advertising Material Distribution Services	\$6.00	
541890	Other Services Related to Advertising	\$6.00	
541910	Marketing Research and Public Opinion Polling	\$6.00	
541921	Photography Studios, Portrait	\$6.00	
541922	Commercial Photography	\$6.00	
541930	Translation and Interpretation Services	\$6.00	
541940	Veterinary Services	\$6.00	
541990	All Other Professional, Scientific and Technical Services	\$6.00	
Sector 55 - Management of Companies and Enterprises			
Subsector 551 - Management of Companies and Enterprises			
551111	Offices of Bank Holding Companies	\$6.00	
551112	Offices of Other Holding Companies	\$6.00	
Sector 56 - Administrative and Support, Waste Management and Remediation			
Subsector 561 - Administrative and Support Services			
561110	Office Administrative Services	\$6.00	
561210	Facilities Support Services	\$6.00	
Except,	Base Maintenance	\$23.00	
561310	Employment Placement Agencies	\$6.00	
561320	Temporary Help Services	\$11.50	
561330	Employee Leasing Services	\$11.50	
561410	Document Preparation Services	\$6.00	
561421	Telephone Answering Services	\$6.00	
561422	Telemarketing Bureaus	\$6.00	
561431	Private Mail Centers	\$6.00	
561439	Other Business Service Centers (including Copy Shops)	\$6.00	
561440	Collection Agencies	\$6.00	
561450	Credit Bureaus	\$6.00	
561491	Repossession Services	\$6.00	
561492	Court Reporting and Stenotype Services	\$6.00	
561499	All Other Business Support Services	\$6.00	
561510	Travel Agencies	\$3.00	
561520	Tour Operators	\$6.00	
561591	Convention and Visitors Bureaus	\$6.00	
561599	All Other Travel Arrangement and Reservation Services	\$6.00	
561611	Investigation Services	\$10.50	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
561612	Security Guards and Patrol Services	\$10.50	
561613	Armored Car Services	\$10.50	
561621	Security Systems Services (except Locksmiths)	\$10.50	
561622	Locksmiths	\$6.00	
561710	Exterminating and Pest Control Services	\$6.00	
561720	Janitorial Services	\$14.00	
561730	Landscaping Services	\$6.00	
561740	Carpet and Upholstery Cleaning Services	\$4.00	
561790	Other Services to Buildings and Dwellings	\$6.00	
561910	Packaging and Labeling Services	\$6.00	
561920	Convention and Trade Show Organizers	6.0	
561990	All Other Support Services	\$6.00	
Subsector 562 - Waste Management and Remediation Services			
562111	Solid Waste Collection	\$10.50	
562112	Hazardous Waste Collection	\$10.50	
562119	Other Waste Collection	\$10.50	
562211	Hazardous Waste Treatment and Disposal	\$10.50	
562212	Solid Waste Landfill	\$10.50	
562213	Solid Waste Combustors and Incinerators	\$10.50	
562219	Other Nonhazardous Waste Treatment and Disposal	\$10.50	
562910	Remediation Services	\$12.00	
<i>Except,</i>	Environmental Remediation Services		500
562920	Materials Recovery Facilities	\$10.50	
562991	Septic Tank and Related Services	\$6.00	
562998	All Other Miscellaneous Waste Management Services	\$6.00	
Sector 61 - Educational Services			
Subsector 611 - Educational Services			
611110	Elementary and Secondary Schools	\$6.00	
611210	Junior Colleges	\$6.00	
611310	Colleges, Universities and Professional Schools	\$6.00	
611410	Business and Secretarial Schools	\$6.00	
611420	Computer Training	\$6.00	
611430	Professional and Management Development Training	\$6.00	
611511	Cosmetology and Barber Schools	\$6.00	
611512	Flight Training	\$21.50	
611513	Apprenticeship Training	\$6.00	
611519	Other Technical and Trade Schools	\$6.00	
<i>Except,</i>	Job Corps Centers	\$30.00	
611610	Fine Arts Schools	\$6.00	
611620	Sports and Recreation Instruction	\$6.00	
611630	Language Schools	\$6.00	
611691	Exam Preparation and Tutoring	\$6.00	
611692	Automobile Driving Schools	\$6.00	
611699	All Other Miscellaneous Schools and Instruction	\$6.00	
611710	Educational Support Services	\$6.00	
Sector 62 - Health Care and Social Assistance			
Subsector 621 - Ambulatory Health Care Services			
621111	Offices of Physicians (except Mental Health Specialists)	\$8.50	
621112	Offices of Physicians, Mental Health Specialists	\$8.50	
621210	Offices of Dentists	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
621310	Offices of Chiropractors	\$6.00	
621320	Offices of Optometrists	\$6.00	
621330	Offices of Mental Health Practitioners (except Physicians)	\$6.00	
621340	Offices of Physical, Occupational and Speech Therapists and Audiologists	\$6.00	
621391	Offices of Podiatrists	\$6.00	
621399	Offices of All Other Miscellaneous Health Practitioners	\$6.00	
621410	Family Planning Centers	\$8.50	
621420	Outpatient Mental Health and Substance Abuse Centers	\$8.50	
621491	HMO Medical Centers	\$8.50	
621492	Kidney Dialysis Centers	\$29.00	
621493	Freestanding Ambulatory Surgical and Emergency Centers	\$8.50	
621498	All Other Outpatient Care Centers	\$8.50	
621511	Medical Laboratories	\$11.50	
621512	Diagnostic Imaging Centers	\$11.50	
621610	Home Health Care Services	\$11.50	
621910	Ambulance Services	\$6.00	
621991	Blood and Organ Banks	\$8.50	
621999	All Other Miscellaneous Ambulatory Health Care Services?	\$8.50	
Subsector 622 - Hospitals			
622110	General Medical and Surgical Hospitals	\$29.00	
622210	Psychiatric and Substance Abuse Hospitals	\$29.00	
622310	Specialty (except Psychiatric and Substance Abuse) Hospitals	\$29.00	
Subsector 623 - Nursing and Residential Care Facilities			
623110	Nursing Care Facilities	\$11.50	
623210	Residential Mental Retardation Facilities	\$8.50	
623220	Residential Mental Health and Substance Abuse Facilities	\$6.00	
623311	Continuing Care Retirement Communities	\$11.50	
623312	Homes for the Elderly	\$6.00	
623990	Other Residential Care Facilities	\$6.00	
Subsector 624 - Social Assistance			
624110	Child and Youth Services	\$6.00	
624120	Services for the Elderly and Persons with Disabilities	\$6.00	
624190	Other Individual and Family Services	\$6.00	
624210	Community Food Services	\$6.00	
624221	Temporary Shelters	\$6.00	
624229	Other Community Housing Services	\$6.00	
624230	Emergency and Other Relief Services	\$6.00	
624310	Vocational Rehabilitation Services	\$6.00	
624410	Child Day Care Services	\$6.00	
Sector 71 - Arts, Entertainment and Recreation			
Subsector 711 - Performing Arts, Spectator Sports and Related Industries			
711110	Theater Companies and Dinner Theaters	\$6.00	
711120	Dance Companies	\$6.00	
711130	Musical Groups and Artists	\$6.00	
711190	Other Performing Arts Companies	\$6.00	
711211	Sports Teams and Clubs	\$6.00	
711212	Race Tracks	\$6.00	
711219	Other Spectator Sports	\$6.00	
711310	Promoters of Performing Arts, Sports and Similar Events with Facilities	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
711320	Promoters of Performing Arts, Sports and Similar Events without Facilities	\$6.00	
711410	Agents and Managers for Artists, Athletes, Entertainers and Other Public Figures	\$6.00	
711510	Independent Artists, Writers, and Performers	\$6.00	
Subsector 712 - Museums, Historical Sites and Similar Institutions			
712110	Museums	\$6.00	
712120	Historical Sites	\$6.00	
712130	Zoos and Botanical Gardens	\$6.00	
712190	Nature Parks and Other Similar Institutions	\$6.00	
Subsector 713 - Amusement, Gambling and Recreation Industries			
713110	Amusement and Theme Parks	\$6.00	
713120	Amusement Arcades	\$6.00	
713210	Casinos (except Casino Hotels)	\$6.00	
713290	Other Gambling Industries	\$6.00	
713910	Golf Courses and Country Clubs	\$6.00	
713920	Skiing Facilities	\$6.00	
713930	Marinas	\$6.00	
713940	Fitness and Recreational Sports Centers	\$6.00	
713950	Bowling Centers	\$6.00	
713990	All Other Amusement and Recreation Industries	\$6.00	
Sector 72 - Accommodation and Food Services			
Subsector 721 - Accommodation			
721110	Hotels (except Casino Hotels) and Motels	\$6.00	
721120	Casino Hotels	\$6.00	
721191	Bed and Breakfast Inns	\$6.00	
721199	All Other Traveler Accommodation	\$6.00	
721211	RV (Recreational Vehicle) Parks and Campgrounds	\$6.00	
721214	Recreational and Vacation Camps (except Campgrounds)	\$6.00	
721310	Rooming and Boarding Houses	\$6.00	
Subsector 722 - Food Services and Drinking Places			
722110	Full-Service Restaurants	\$6.00	
722211	Limited-Service Restaurants	\$6.00	
722212	Cafeterias	\$6.00	
722213	Snack and Nonalcoholic Beverage Bars	\$6.00	
722310	Food Service Contractors	\$17.50	
722320	Caterers	\$6.00	
722330	Mobile Food Services	\$6.00	
722410	Drinking Places (Alcoholic Beverages)	\$6.00	
Sector 81 - Other Services			
Subsector 811 - Repair and Maintenance			
811111	General Automotive Repair	\$6.00	
811112	Automotive Exhaust System Repair	\$6.00	
811113	Automotive Transmission Repair	\$6.00	
811118	Other Automotive Mechanical and Electrical Repair and Maintenance	\$6.00	
811121	Automotive Body, Paint and Interior Repair and Maintenance	\$6.00	
811122	Automotive Glass Replacement Shops	\$6.00	
811191	Automotive Oil Change and Lubrication Shops	\$6.00	
811192	Car Washes	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
811198	All Other Automotive Repair and Maintenance	\$6.00	
811211	Consumer Electronics Repair and Maintenance	\$6.00	
811212	Computer and Office Machine Repair and Maintenance	\$21.00	
811213	Communication Equipment Repair and Maintenance	\$6.00	
811219	Other Electronic and Precision Equipment Repair and Maintenance	\$6.00	
811310	Commercial and Industrial Machinery and Equipment (except Automotive and Electronic) Repair and Maintenance	\$6.00	
811411	Home and Garden Equipment Repair and Maintenance	\$6.00	
811412	Appliance Repair and Maintenance	\$6.00	
811420	Reupholstery and Furniture Repair	\$6.00	
811430	Footwear and Leather Goods Repair	\$6.00	
811490	Other Personal and Household Goods Repair and Maintenance	\$6.00	
Subsector 812 - Personal and Laundry Services			
812111	Barber Shops	\$6.00	
812112	Beauty Salons	\$6.00	
812113	Nail Salons	\$6.00	
812191	Diet and Weight Reducing Centers	\$6.00	
812199	Other Personal Care Services	\$6.00	
812210	Funeral Homes and Funeral Services	\$6.00	
812220	Cemeteries and Crematories	\$6.00	
812310	Coin-Operated Laundries and Drycleaners	\$6.00	
812320	Drycleaning and Laundry Services (except Coin-Operated)	\$4.00	
812331	Linen Supply	\$12.00	
812332	Industrial Launderers	\$12.00	
812910	Pet Care (except Veterinary) Services	\$6.00	
812921	Photo Finishing Laboratories (except One-Hour)	\$6.00	
812922	One-Hour Photo Finishing	\$6.00	
812930	Parking Lots and Garages	\$6.00	
812990	All Other Personal Services	\$6.00	
Subsector 813 - Religious, Grantmaking, Civic, Professional and Similar Organizations			
813110	Religious Organizations	\$6.00	
813211	Grantmaking Foundations	\$6.00	
813212	Voluntary Health Organizations	\$6.00	
813219	Other Grantmaking and Giving Services	\$6.00	
813311	Human Rights Organizations	\$6.00	
813312	Environment, Conservation and Wildlife Organizations	\$6.00	
813319	Other Social Advocacy Organizations	\$6.00	
813410	Civic and Social Organizations	\$6.00	
813910	Business Associations	\$6.00	
813920	Professional Organizations	\$6.00	
813930	Labor Unions and Similar Labor Organizations	\$6.00	
813940	Political Organizations	\$6.00	
813990	Other Similar Organizations (except Business, Professional, Labor, and Political Organizations)	\$6.00	

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
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FOOTNOTES

1. NAICS codes 221111, 221112, 221113, 221119, 221121, 221122 - A firm is small if, including its affiliates, it is primarily engaged in the generation, transmission, and/or distribution of electric energy for sale and its total electric output for the preceding fiscal year did not exceed 4 million megawatt hours.

2. NAICS code 237990 - Dredging: To be considered small for purposes of Government procurement, a firm must perform at least 40 percent of the volume dredged with its own equipment or equipment owned by another small dredging concern.

3. NAICS code 311421 - For purposes of Government procurement for food canning and preserving, the standard of 500 employees excludes agricultural labor as defined in section 3306(k) of the Internal Revenue Code, 26 U.S.C. 3306(k).

4. NAICS code 324110 - For purposes of Government procurement, the petroleum refiner must be a concern that has no more than 1,500 employees nor more than 125,000 barrels per calendar day total Operable Atmospheric Crude Oil Distillation capacity. Capacity includes owned or leased facilities as well as facilities under a processing agreement or an arrangement such as an exchange agreement or a throughput. The total product to be delivered under the contract must be at least 90 percent refined by the successful bidder from either crude oil or bona fide feedstocks.

5. NAICS code 326211 - For Government procurement, a firm is small for bidding on a contract for pneumatic tires within Census Classification codes 30111 and 30112, provided that:

- a. The value of tires within Census Classification codes 30111 and 30112 which it manufactured in the United States during the previous calendar year is more than 50 percent of the value of its total worldwide manufacture,
- b. the value of pneumatic tires within Census Classification codes 30111 and 30112 comprising its total worldwide manufacture during the preceding calendar year was less than 5 percent of the value of all such tires manufactured in the United States during that period, and
- c. the value of the principal product which it manufactured or otherwise produced, or sold worldwide during the preceding calendar year is less than 10 percent of the total value of such products manufactured or otherwise produced or sold in the United States during that period.

6. NAICS Subsectors 333, 334, 335 and 336 - For rebuilding machinery or equipment on a factory basis, or equivalent, use the NAICS code for a newly manufactured product. Concerns performing major rebuilding or overhaul activities do not necessarily have to meet the criteria for being a "manufacturer" although the activities may be classified under a manufacturing NAICS code. Ordinary repair services or preservation are not considered rebuilding.

7. NAICS code 336413 - Contracts for the rebuilding or overhaul of aircraft ground support equipment on a contract basis are classified under NAICS code 336413.

8. NAICS Codes 522110, 522120, 522130, 522190, 522210 and 522293 - A financial institution's assets are determined by averaging the assets reported on its four quarterly financial statements for the preceding year. "Assets" for the purposes of this size standard means the assets defined according to the Federal Financial Institutions Examination Council 034 call report form.

9. NAICS code 531190 - Leasing of building space to the Federal Government by Owners: For Government procurement, a size standard of \$17.5 million in gross receipts applies to the owners of building space leased to the Federal Government. The standard does not apply to an agent.

10. NAICS codes 488510, 531210, 541810, 561510, 561520 and 561920 - As measured by total revenues, but excluding funds received in trust for an unaffiliated third party, such as bookings or sales subject to commissions. The commissions received are included as revenue.

11. NAICS code 541710 - For research and development contracts requiring the delivery of a manufactured product, the appropriate size standard is that of the manufacturing industry.

a. "Research and Development" means laboratory or other physical research and development. It does not include economic, educational, engineering, operations, systems, or other nonphysical research; or computer programming, data processing, commercial and/or medical laboratory testing.

b. For purposes of the Small Business Innovation Research (SBIR) program only, a different definition has been established by law. See section 121.701 of these regulations.

c. "Research and Development" for guided missiles and space vehicles includes evaluations and simulation, and other services requiring thorough knowledge of complete missiles and spacecraft.

12. NAICS code 561210 - Facilities Management, a component of NAICS 561210, includes establishments, not classified elsewhere, which provide overall management and personnel to perform a variety of related support services in operating a complete facility in or around a specific building, or within another business or Government establishment. Facilities management means furnishing three or more personnel supply services which may include, but are not limited to secretarial services, typists, word processing, maintaining files and/or libraries, telephone answering, switchboard operation, reproduction or mimeograph service, mailing service, writers, bookkeeping, financial or business management, public relations, conference planning, minor office equipment maintenance and repair, use of information systems (not programming), word processing, travel arrangements, maintaining files and/or libraries.

13. NAICS code 238990 (All Other Special Trade Contractors) and NAICS code 561210 (Facilities Support Services) -

Base Maintenance:

a. If one of the activities of base maintenance, as defined in paragraph (b) (below in this endnote) can be identified with a separate industry and that activity (or industry) accounts for 50 percent or more of the value of an entire contract, then the proper size standard is that of the particular industry, and not the base maintenance size standard.

b. "Base Maintenance" requires the performance of three or more separate activities in the areas of service or special trade construction industries. If services are performed, these activities must each be in a separate NAICS code including, but not limited to, Janitorial and Custodial Service, Fire Prevention Service, Messenger Service, Commissary Service, Protective Guard Service, and Grounds Maintenance and Landscaping Service. If the contract requires the use of special trade contractors (plumbing, painting, plastering, carpentry, etc.), all such special trade construction activities are considered a single activity and classified as Base Housing Maintenance. Since Base Housing Maintenance is only one activity, two additional activities are required for a contract to be classified as "Base Maintenance."

NAICS Codes	NAICS U.S. Industry Title	Size standards in millions of dollars	Size standards in number of employees
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14. NAICS5 code 562910 - Environmental Remediation Services:

a. For SBA assistance as a small business concern in the industry of Environmental Remediation Services, other than for Government procurement, a concern must be engaged primarily in furnishing a range of services for the remediation of a contaminated environment to an acceptable condition including, but not limited to, preliminary assessment, site inspection, testing, remedial investigation, feasibility studies, remedial design, containment, remedial action, removal of contaminated materials, storage of contaminated materials and security and site closeouts. If one of such activities accounts for 50 percent or more of a concern's total revenues, employees, or other related factors, the concern's primary industry is that of the particular industry and not the Environmental Remediation Services Industry.

b. For purposes of classifying a Government procurement as Environmental Remediation Services, the general purpose of the procurement must be to restore a contaminated environment and also the procurement must be composed of activities in three or more separate industries with separate NAICS codes or, in some instances (e.g., engineering), smaller sub-components of NAICS codes with separate, distinct size standards. These activities may include, but are not limited to, separate activities in industries such as: Heavy Construction; Special Trade Construction; Engineering Services; Architectural Services; Management Services; Refuse Systems; Sanitary Services, Not Elsewhere Classified; Local Trucking Without Storage; Testing Laboratories; and Commercial, Physical and Biological Research. If any activity in the procurement can be identified with a separate NAICS code, or component of a code with a separate distinct size standard, and that industry accounts for 50 percent or more of the value of the entire procurement, then the proper size standard is the one for that particular industry, and not the Environmental Remediation Service size standard.

15. Subsector 483 - Water Transportation - Offshore Marine Services - The applicable size standard shall be \$23.5 million for firms furnishing specific transportation services to concerns engaged in offshore oil and/or natural gas exploration, drilling production, or marine research; such services encompass passenger and freight transportation, anchor handling, and related logistical services to and from the work site or at sea.

16. NAICS code 611519 - Job Corps Centers. For classifying a Federal procurement, the purpose of the solicitation must be for the management and operation of a U.S. Department of Labor Job Corps Center. The activities involved include admissions activities, life skills training, educational activities, comprehensive career preparation activities, career development activities, career transition activities, as well as the management and support functions and services needed to operate and maintain the facility. For SBA assistance as a small business concern, other than for Federal Government procurements, a concern must be primarily engaged in providing the services to operate and maintain Federal Job Corps Centers.

17. NAICS code 115310 - Support Activities for Forestry - Forest Fire Suppression and Fuels Management Services are two components of Support Activities for Forestry. Forest Fire Suppression includes establishments which provide services to fight forest fires. These firms usually have fire-fighting crews and equipment. Fuels Management Services firms provide services to clear land of hazardous materials that would fuel forest fires. The treatments used by these firms may include prescribed fire, mechanical removal, establishing fuel breaks, thinning, pruning, and piling.